

# *Pencil Code school on early Universe physics and gravitational waves*

October 2025, CERN (Switzerland)

## **Lecture: First-Order Phase Transitions**

*Part I*

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*Part II*

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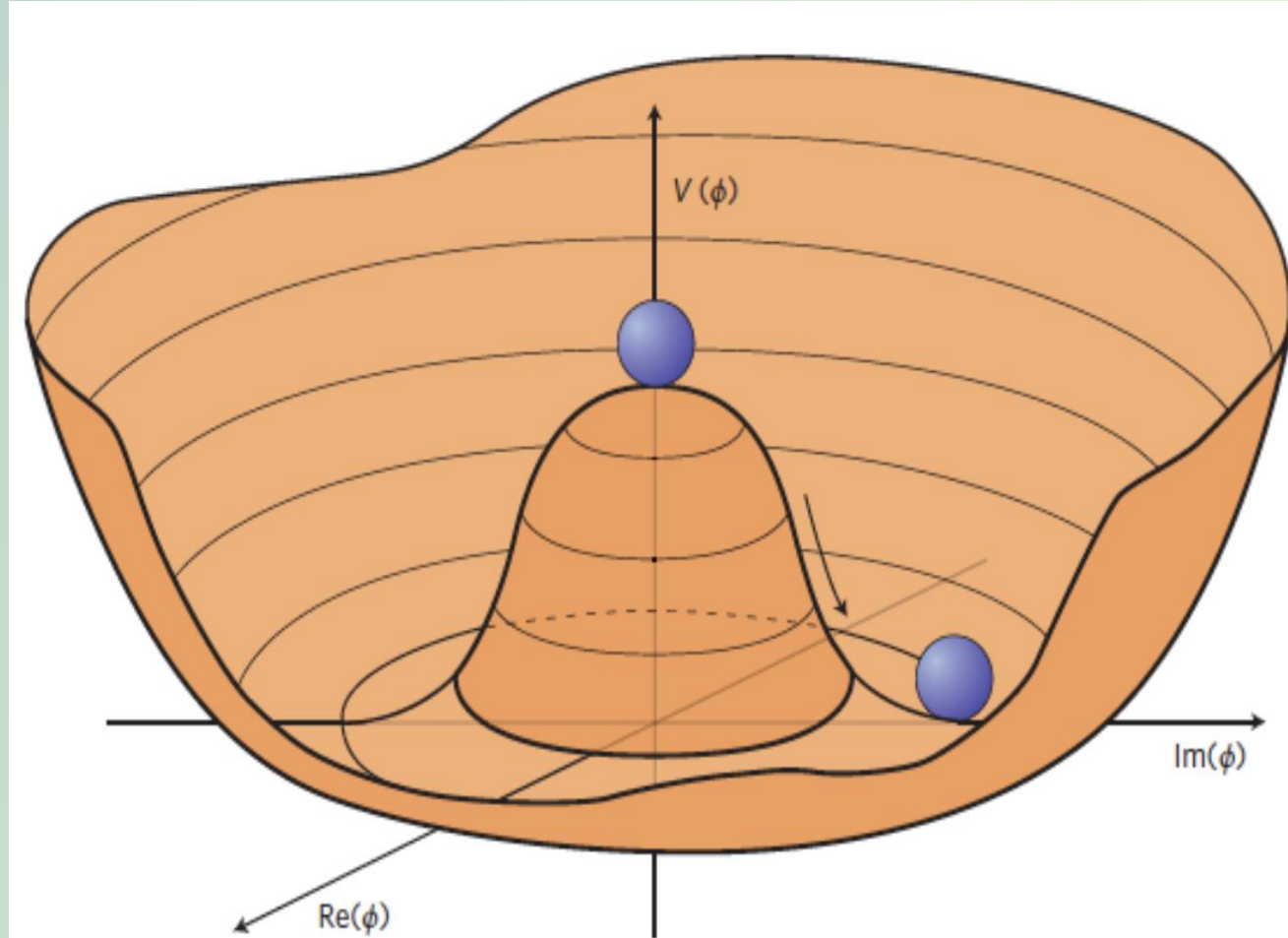
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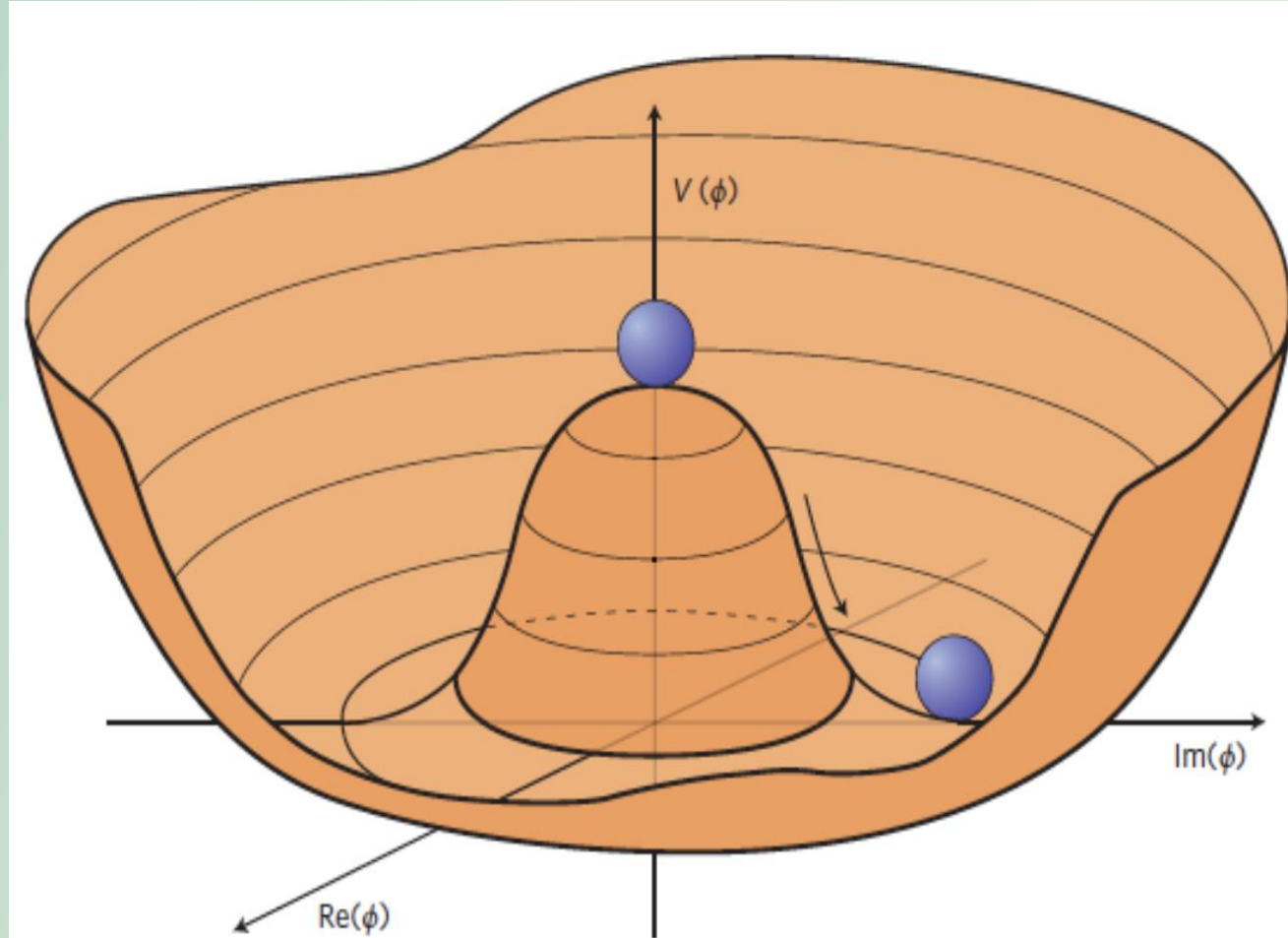
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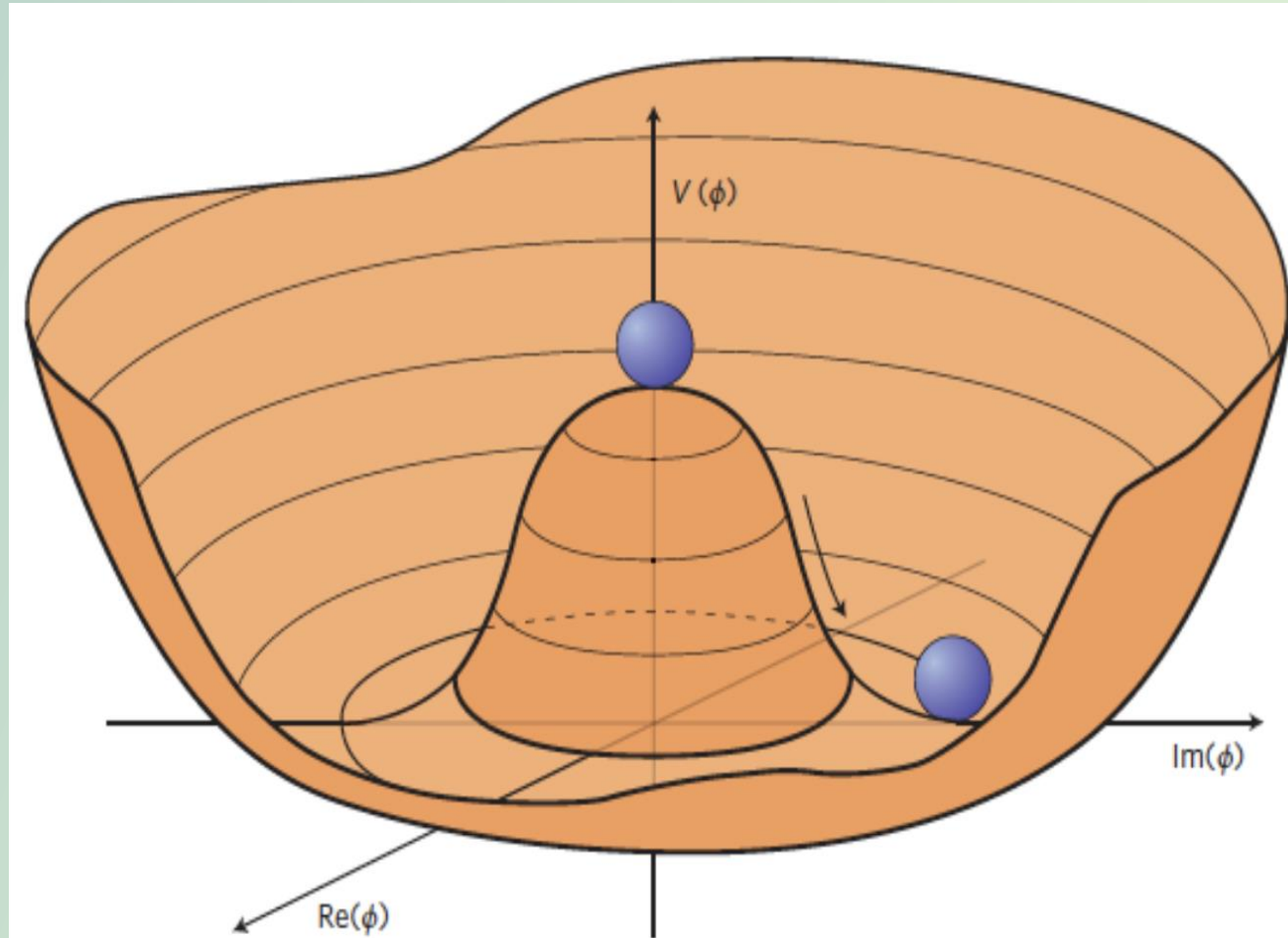
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$\xrightarrow{\text{vev}}$   $|\phi| = \sqrt{\mu^2/\lambda^2} \equiv v \neq 0$



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Electroweak Spontaneous Symmetry Breaking (EWSSB)

$$SU(3)_C \otimes SU(2)_L \otimes U(1)_Y \rightarrow SU(3)_C \otimes U(1)_{em}$$

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→ Second-Order Phase Transition

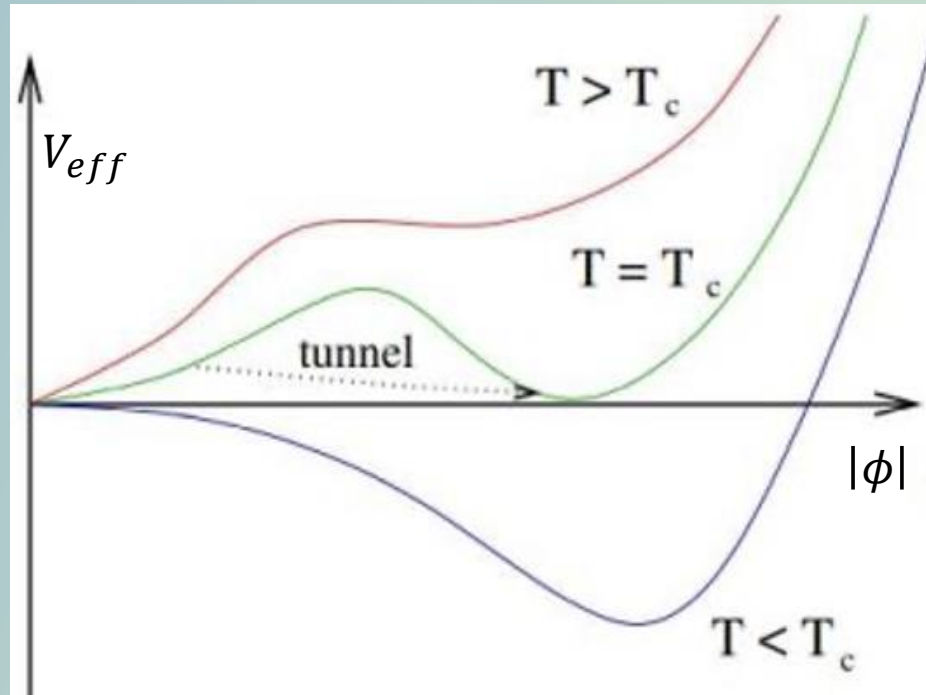
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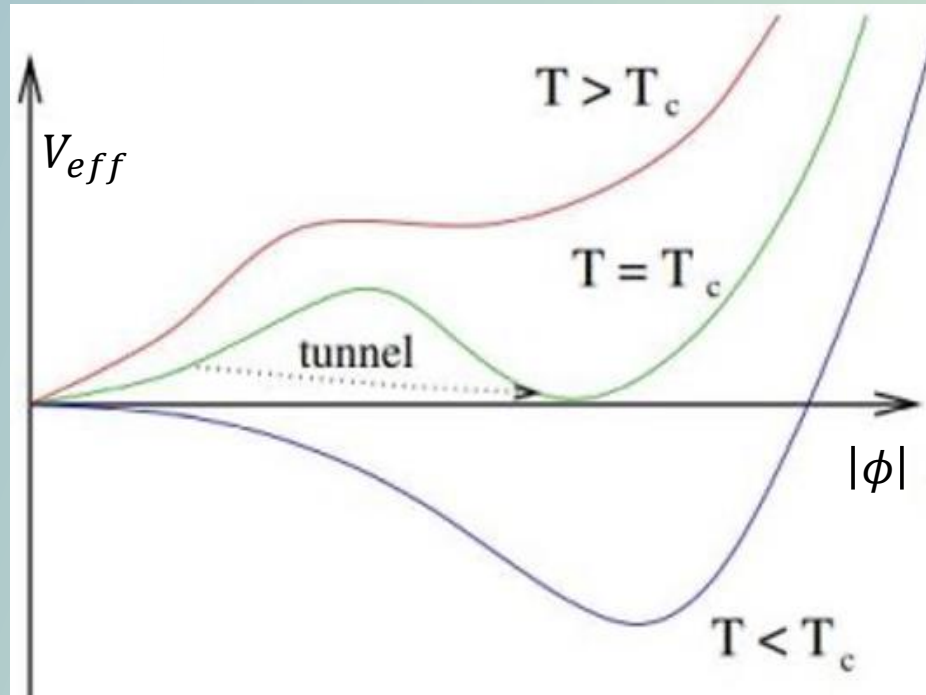
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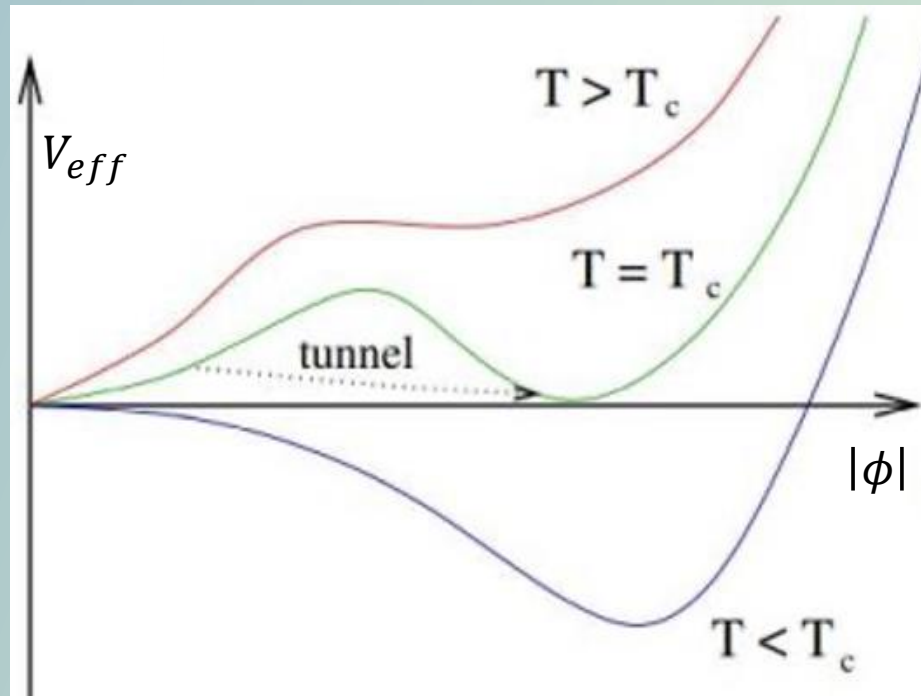
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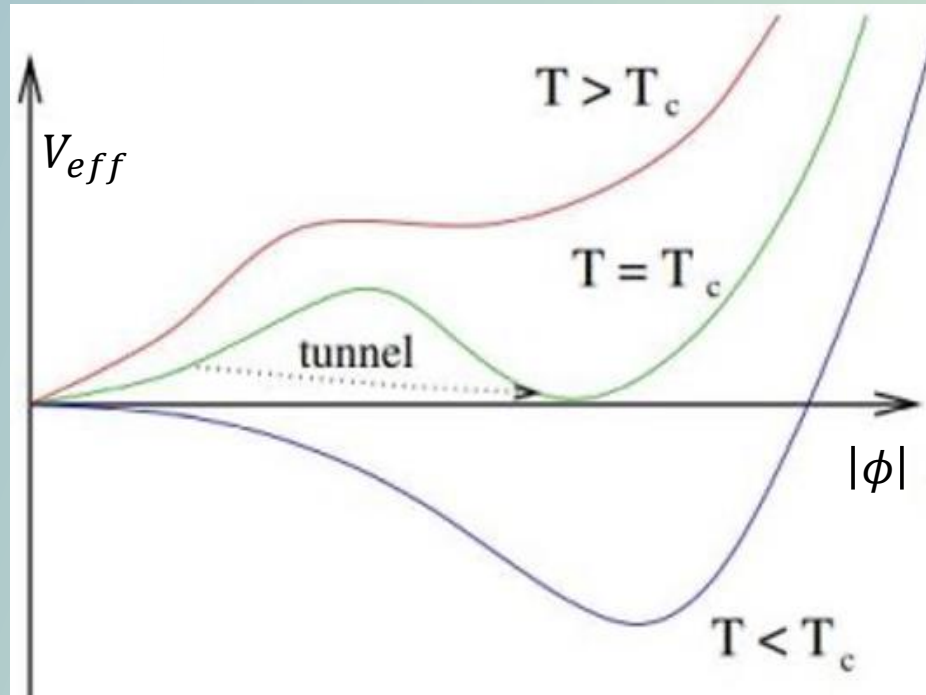
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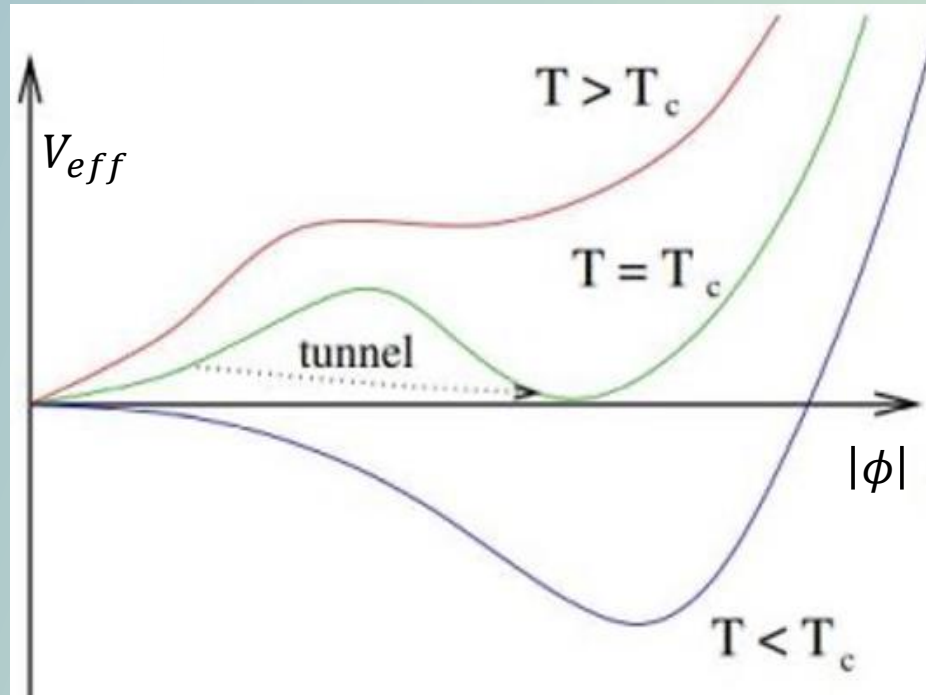
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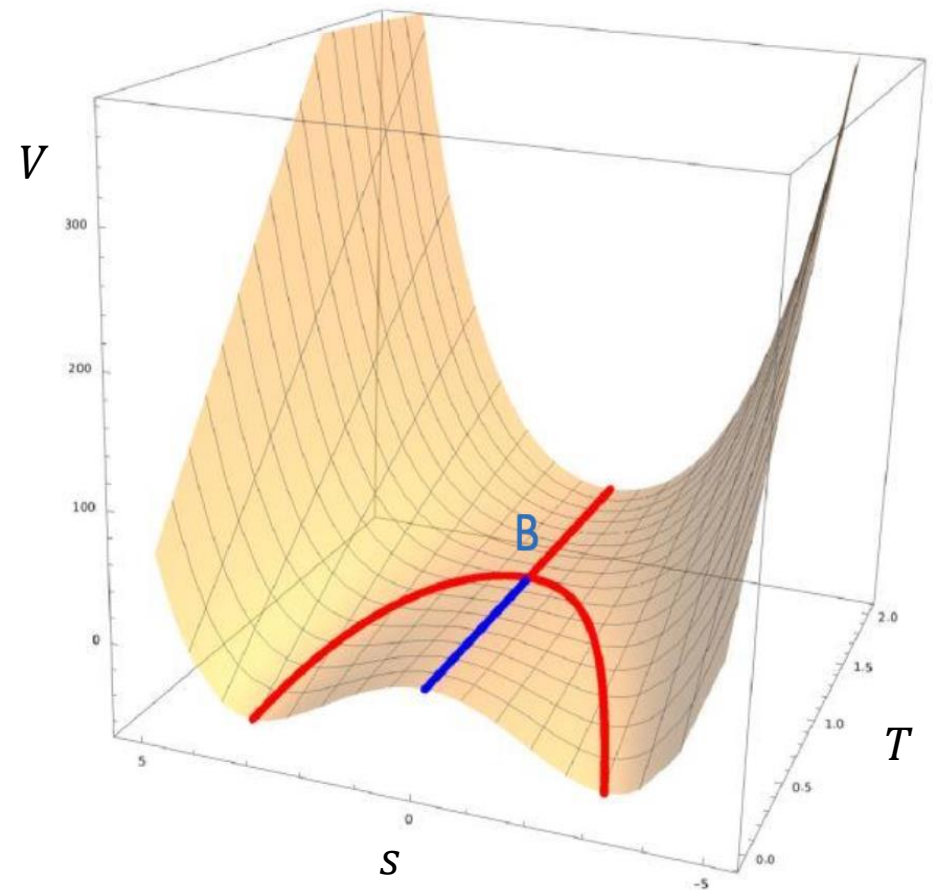


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However in BSM theories we can easily have first-order phase transitions (e. g. in SUSY already at tree level)

## Second-Order Phase Transition

$$V(T, s) = 10(T - 1)s^2 + \frac{s^4}{2}$$





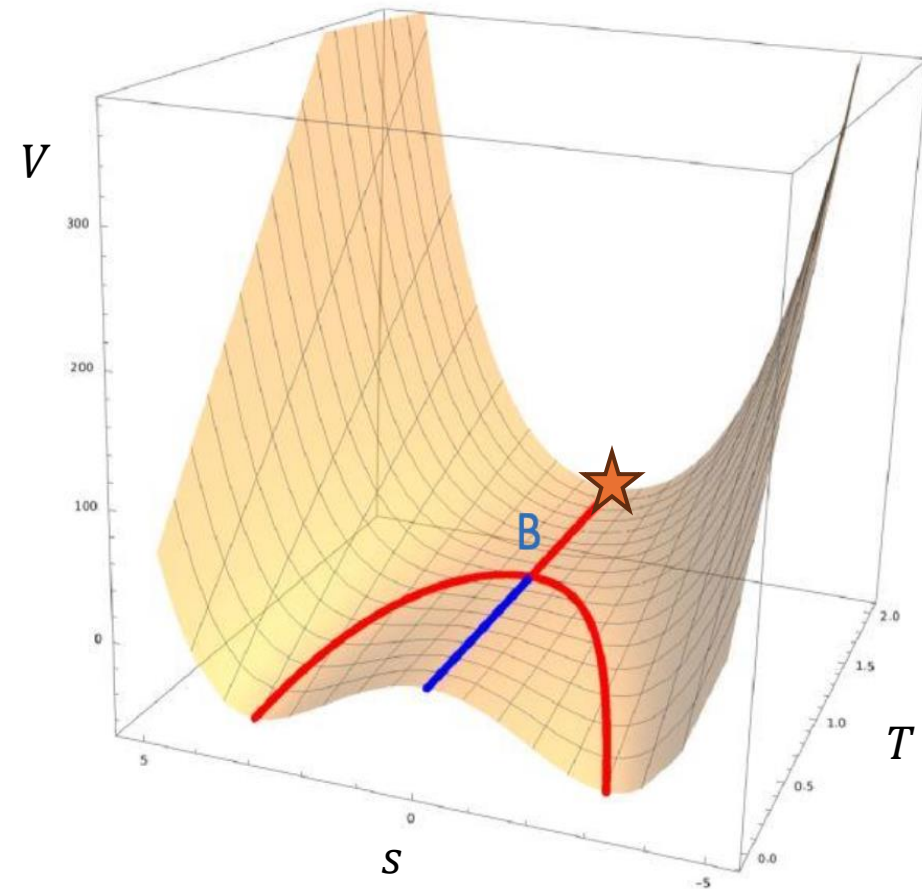
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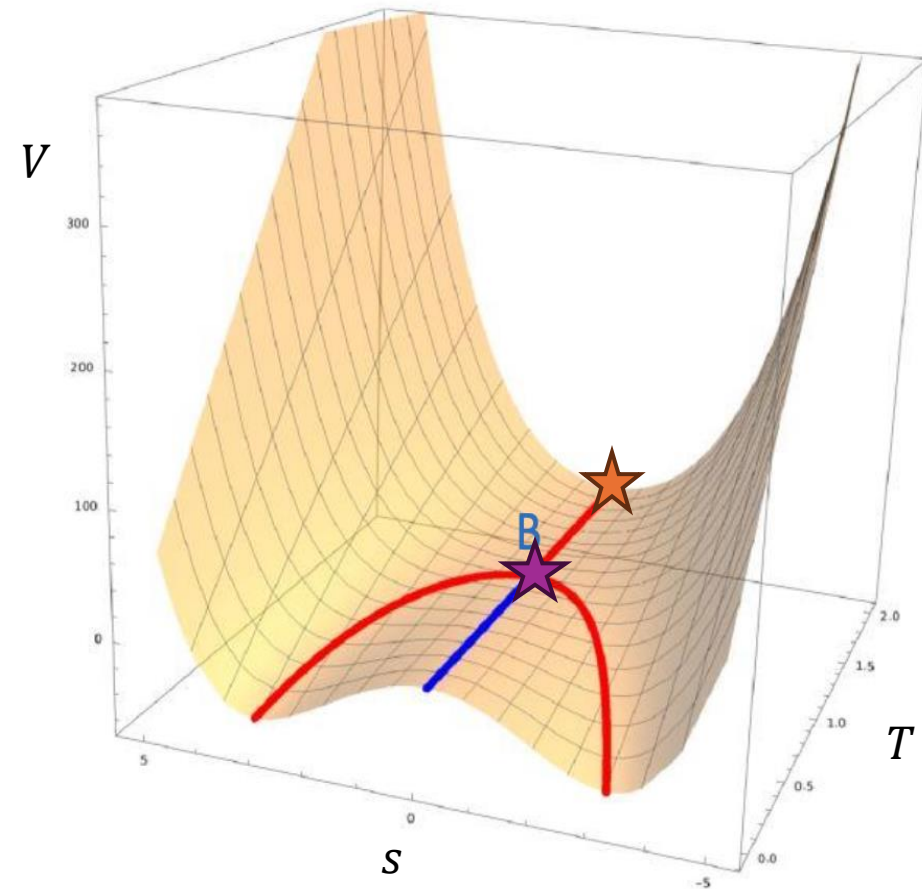
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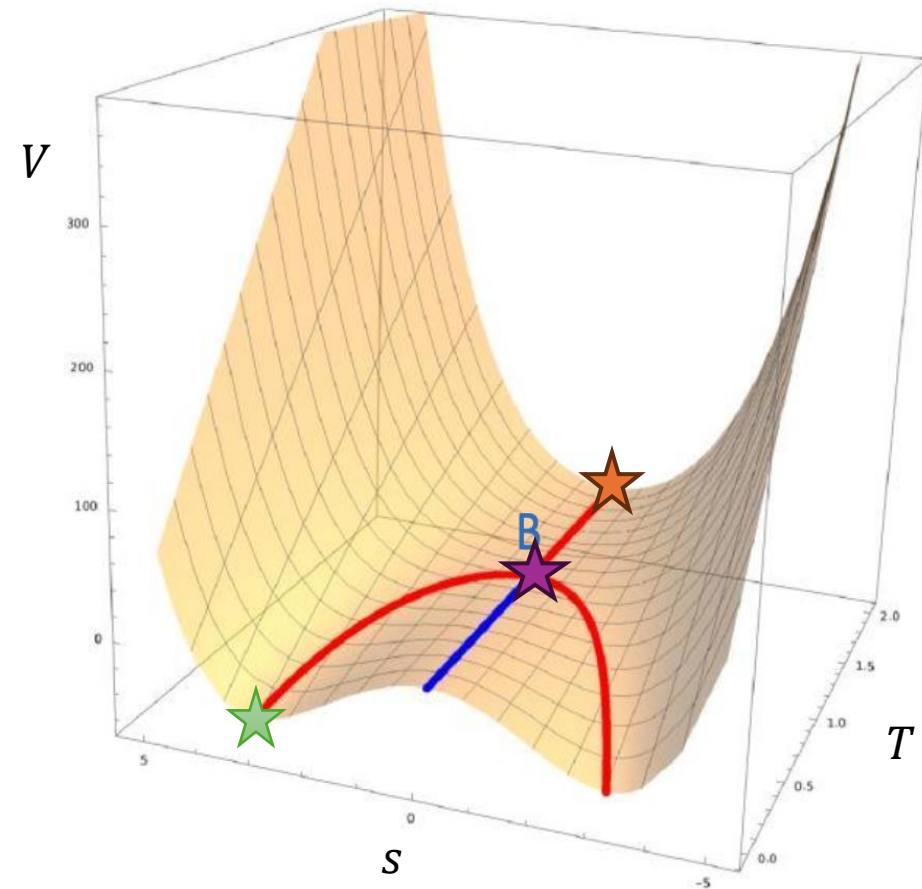
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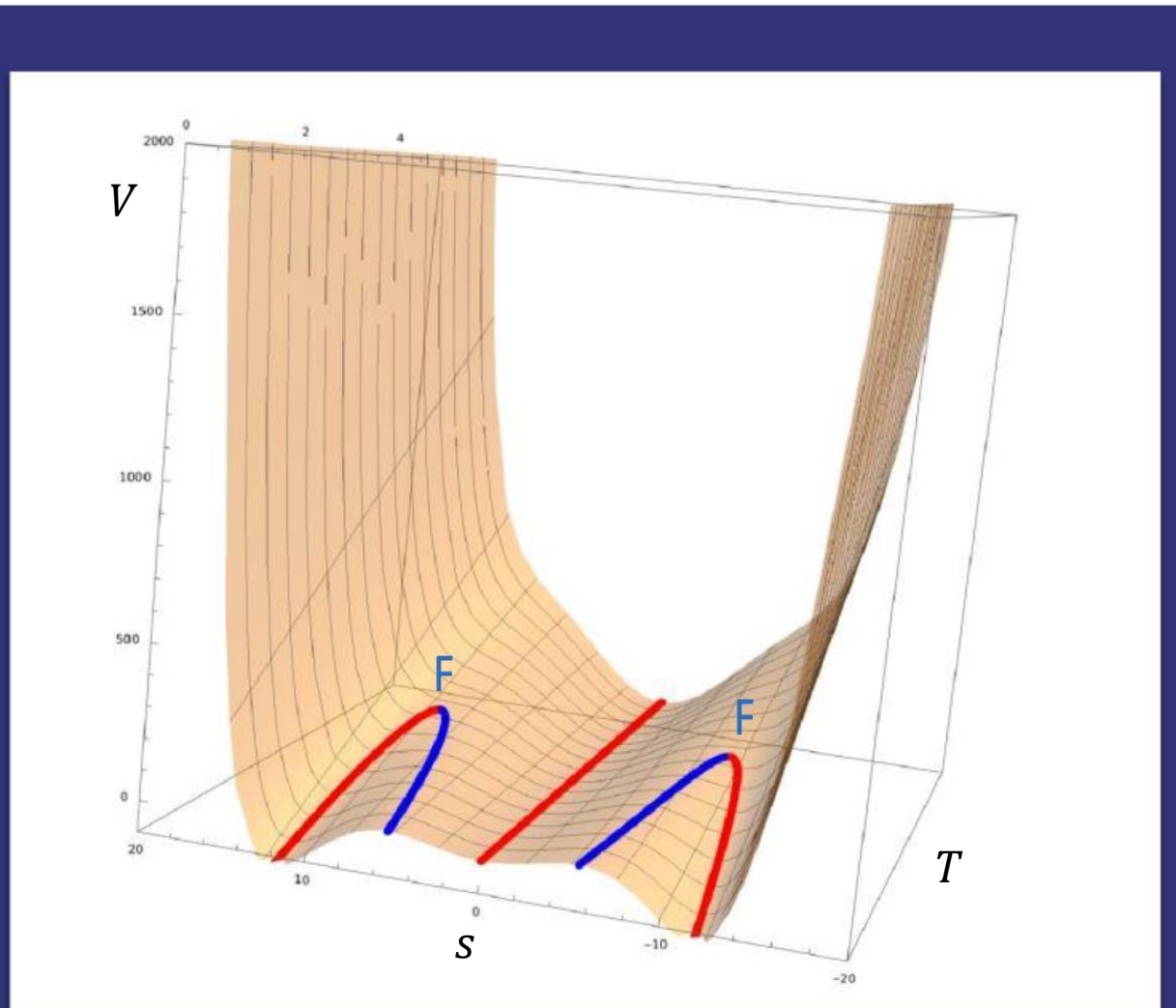
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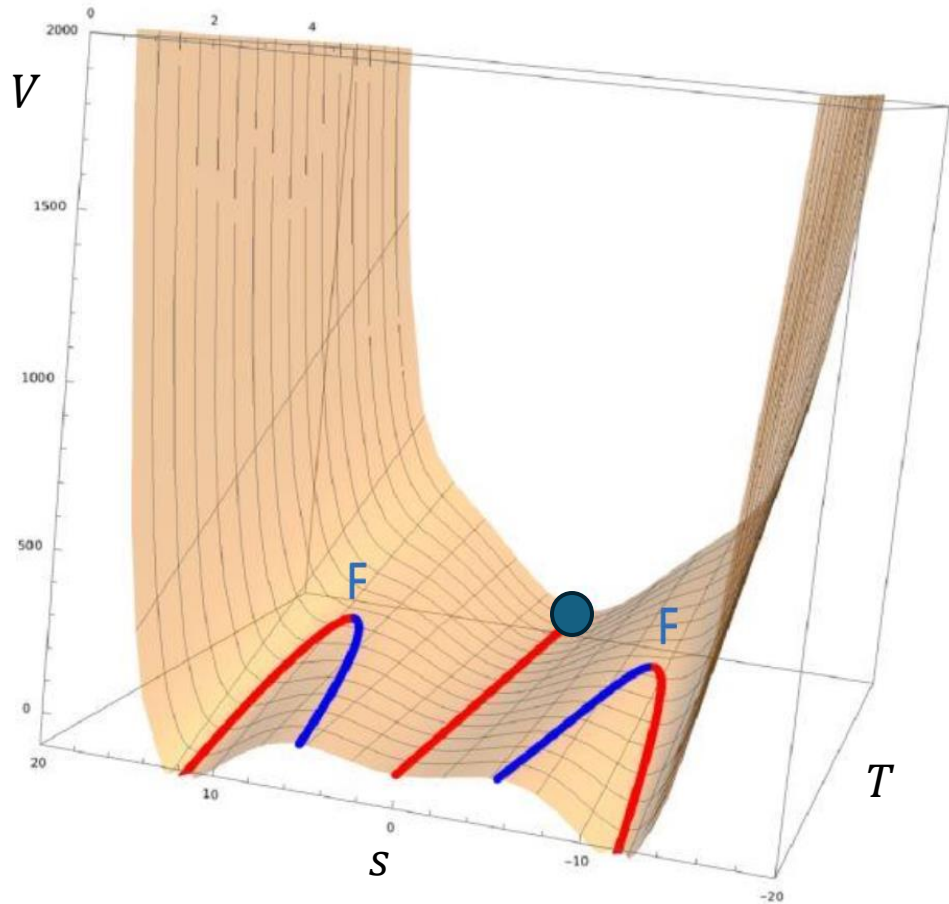
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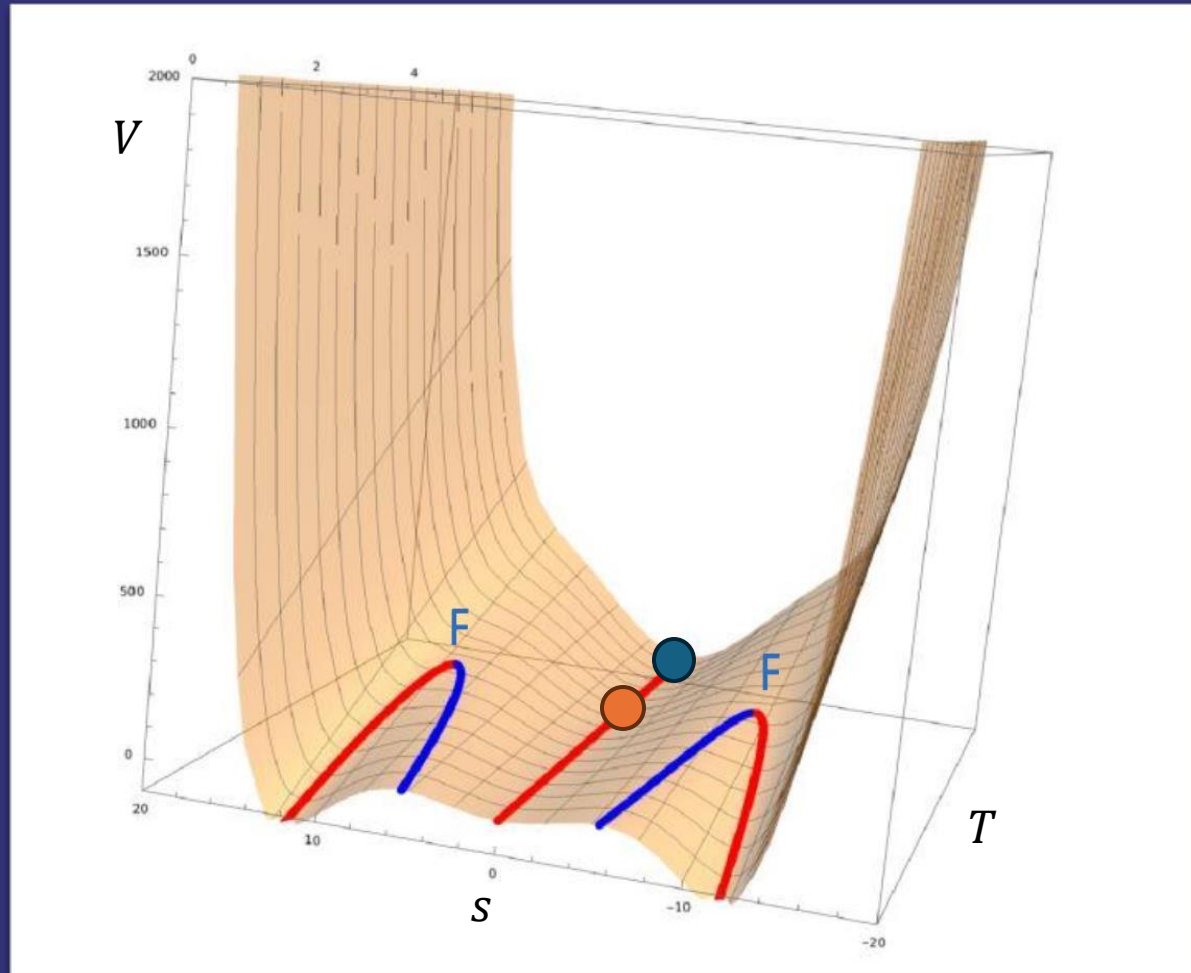
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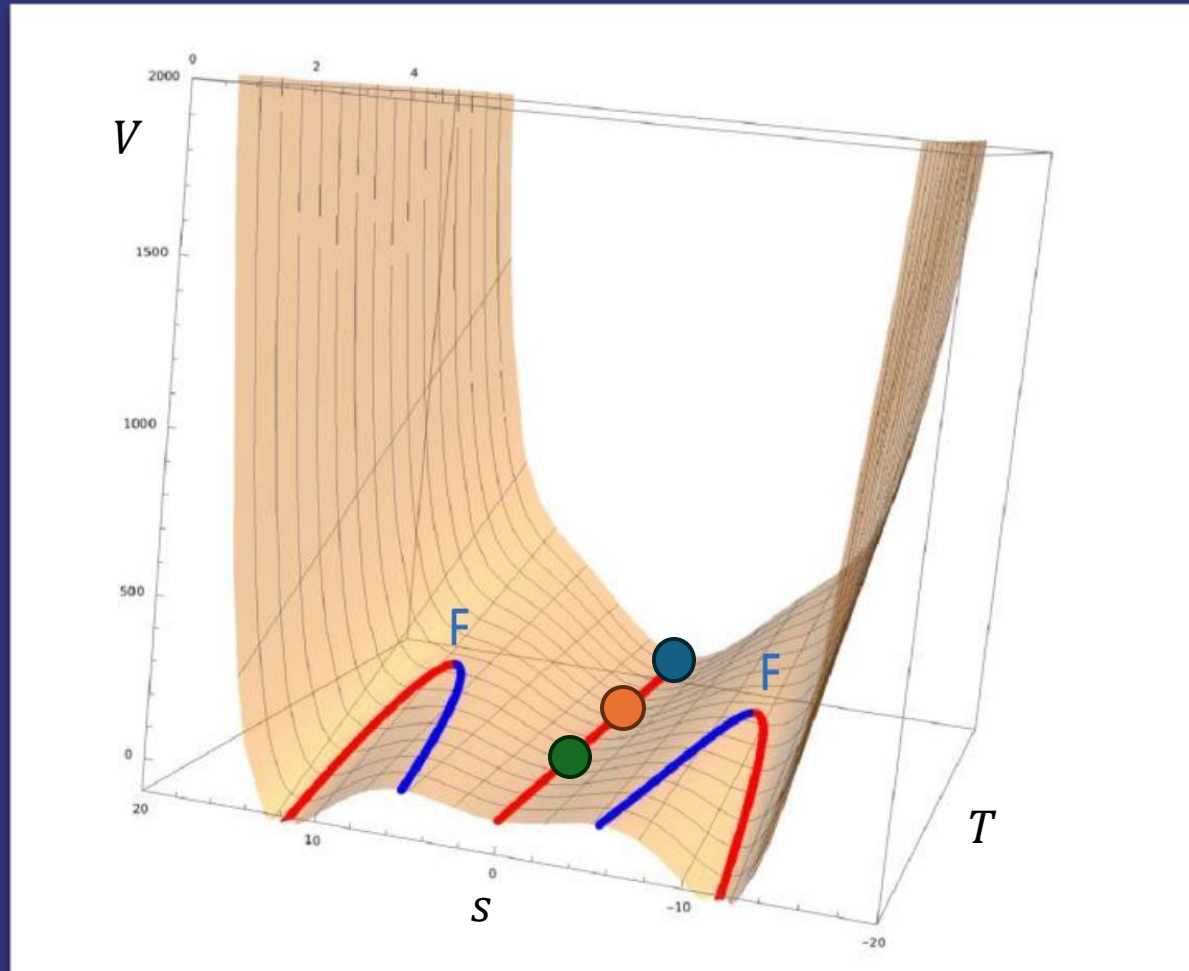
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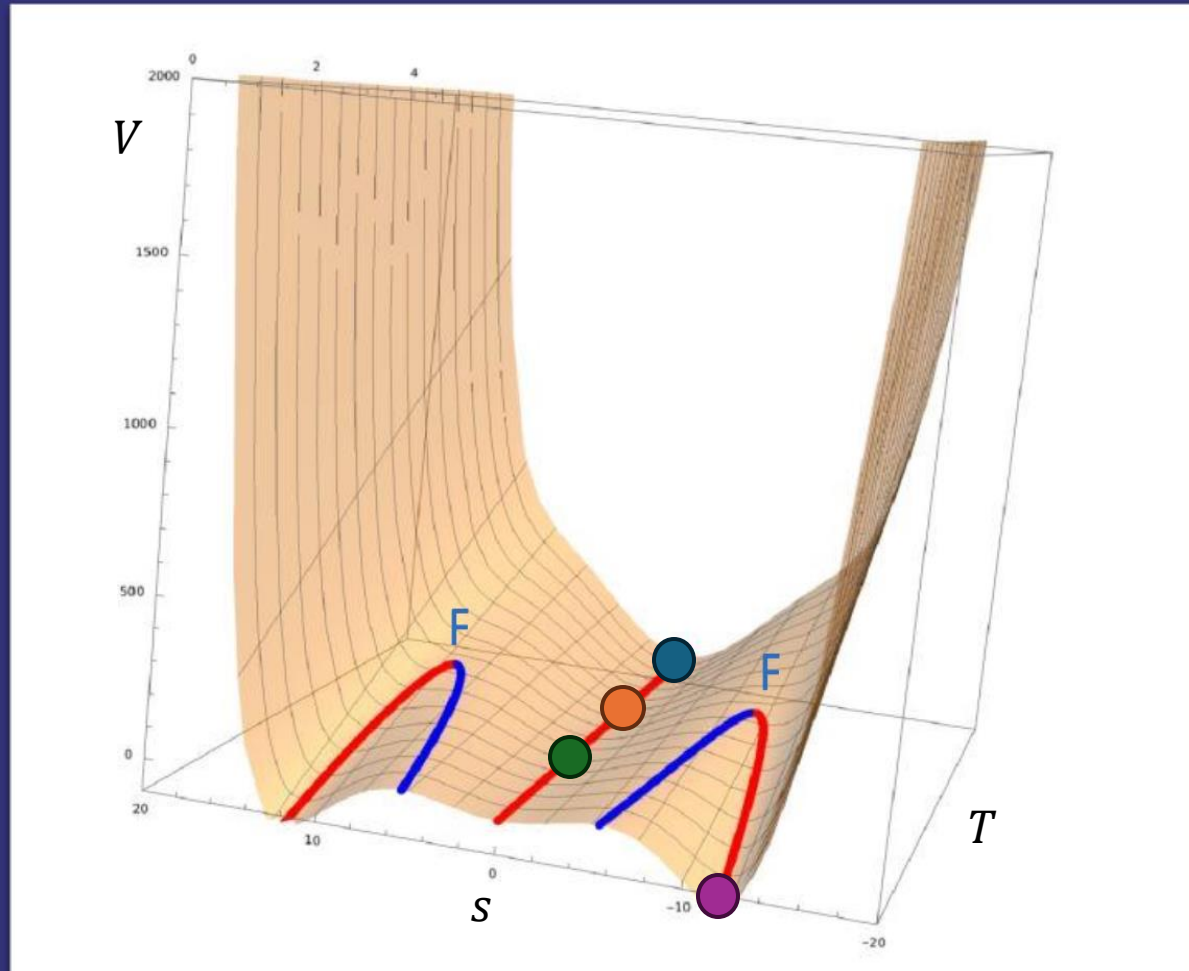
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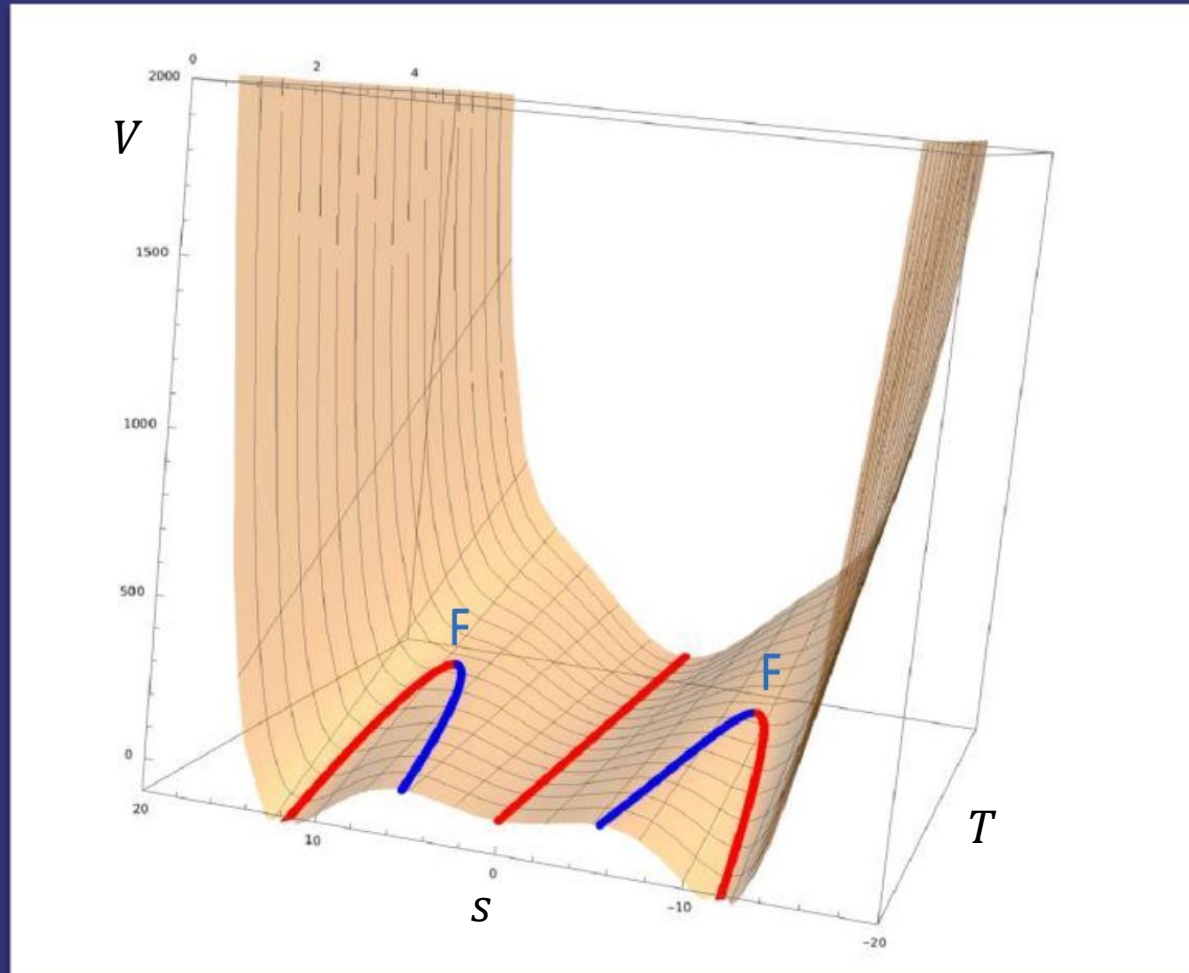
$$T = T_N$$

Nucleation temperature (at which the phase transition occurs)



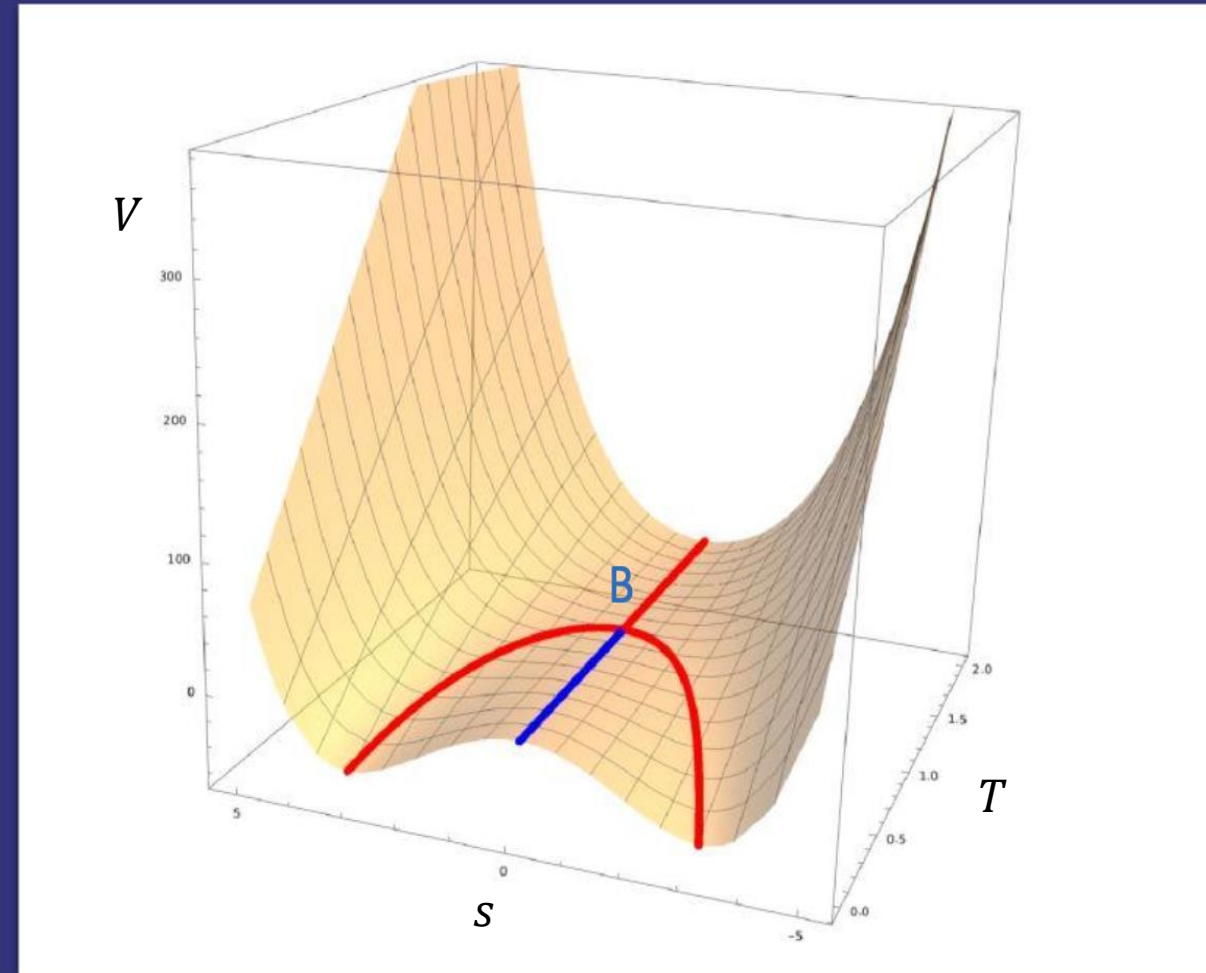
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# Introduction: first-order phase transitions and baryogenesis

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Explaining matter excess over antimatter requires baryon asymmetry (BAU problem)

$$\frac{n_b - \bar{n}_b}{s} = \frac{1}{7.04} \frac{n_b - \bar{n}_b}{n_\gamma} = \begin{cases} 8.2 - 9.4 \times 10^{-11}, & (\text{BBN}), \\ 8.65 \pm 0.09 \times 10^{-11}, & (\text{CMB}). \end{cases}$$

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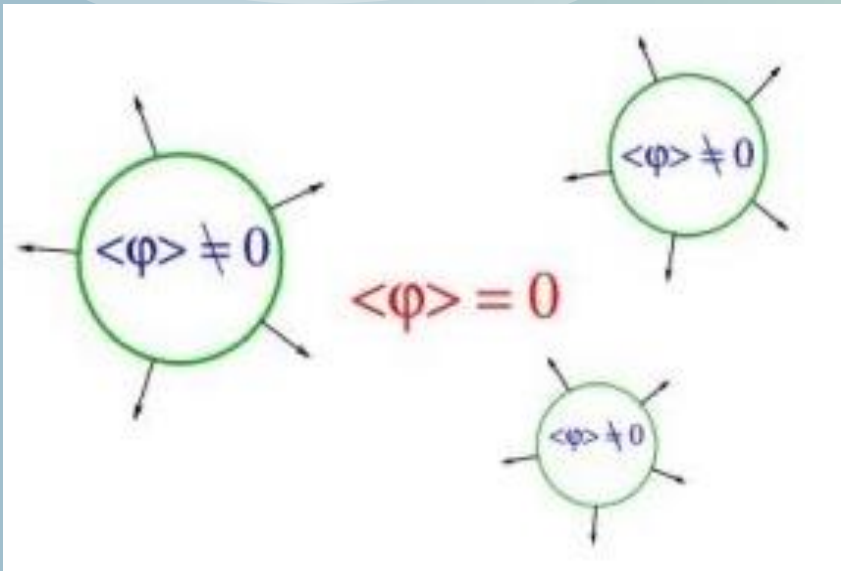
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A possible solution → **EW baryogenesis**

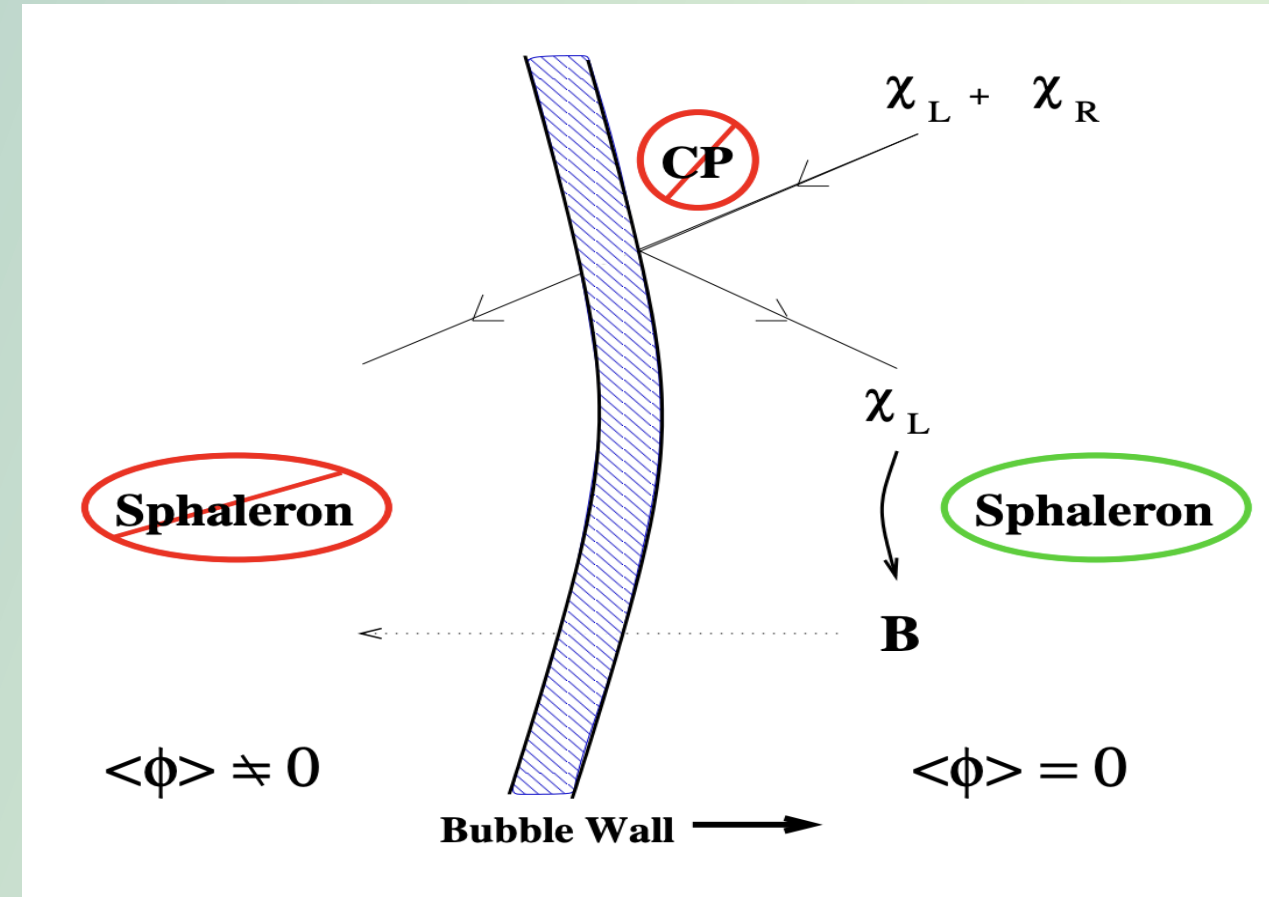
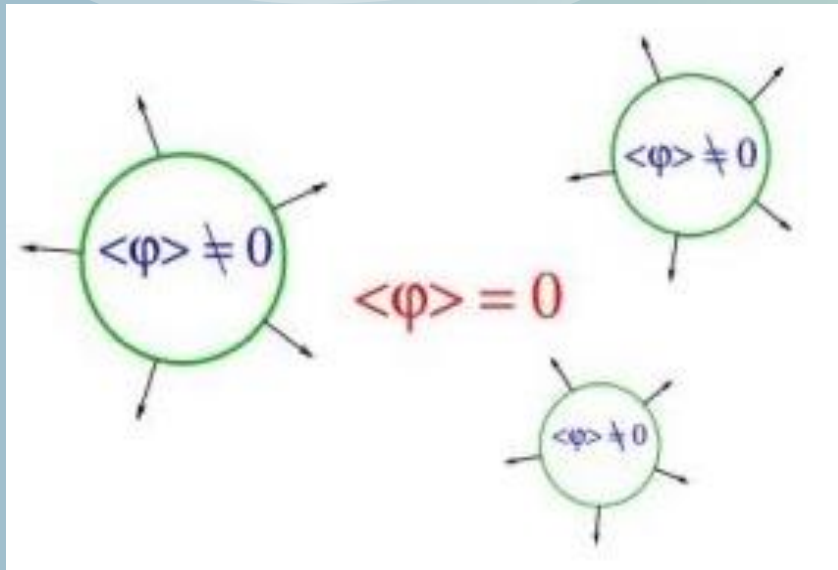
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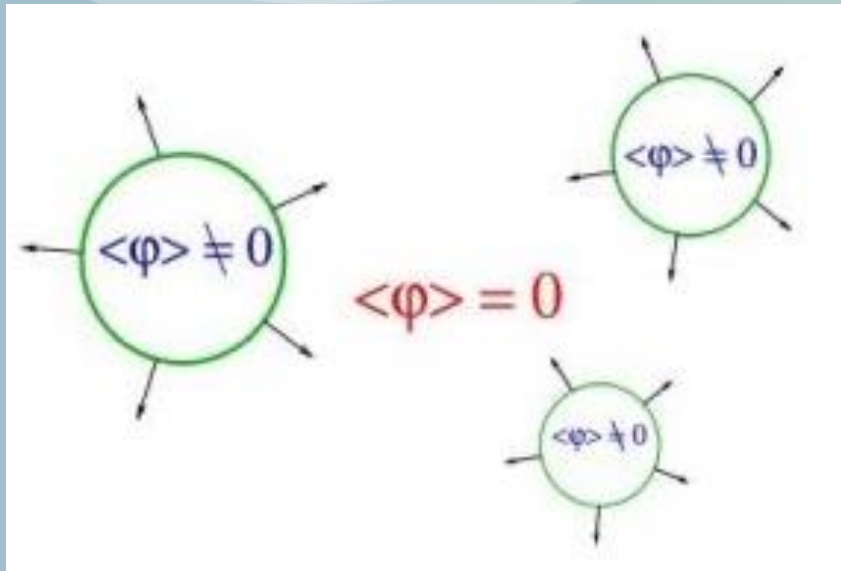
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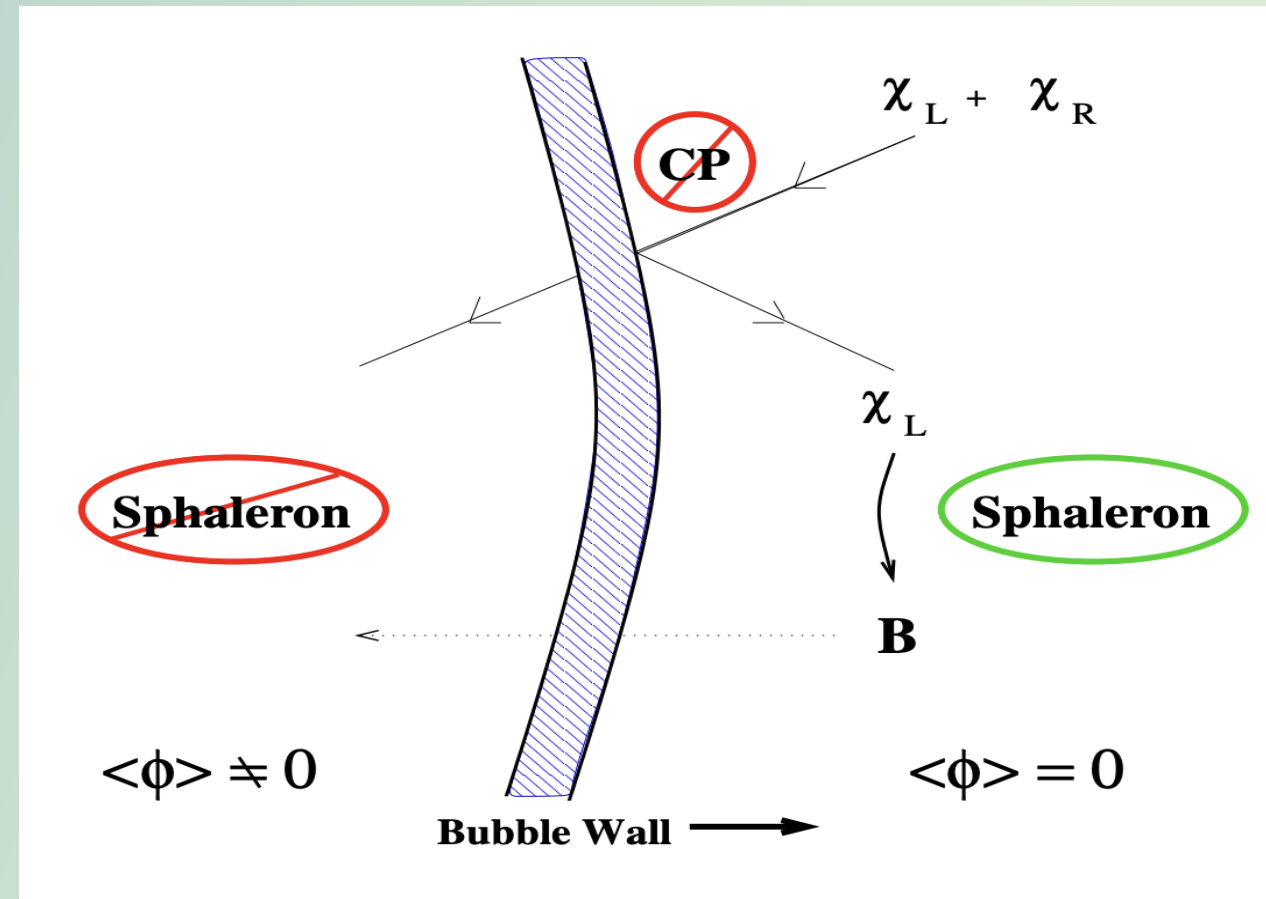


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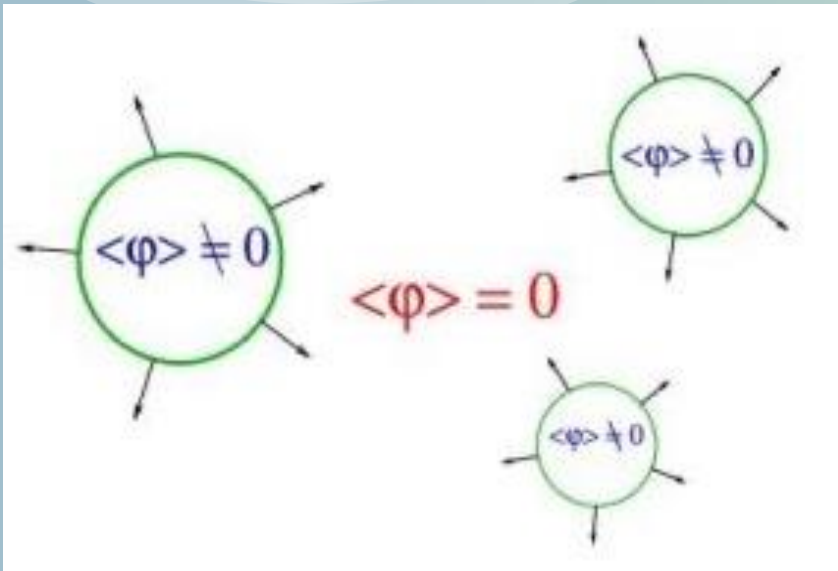
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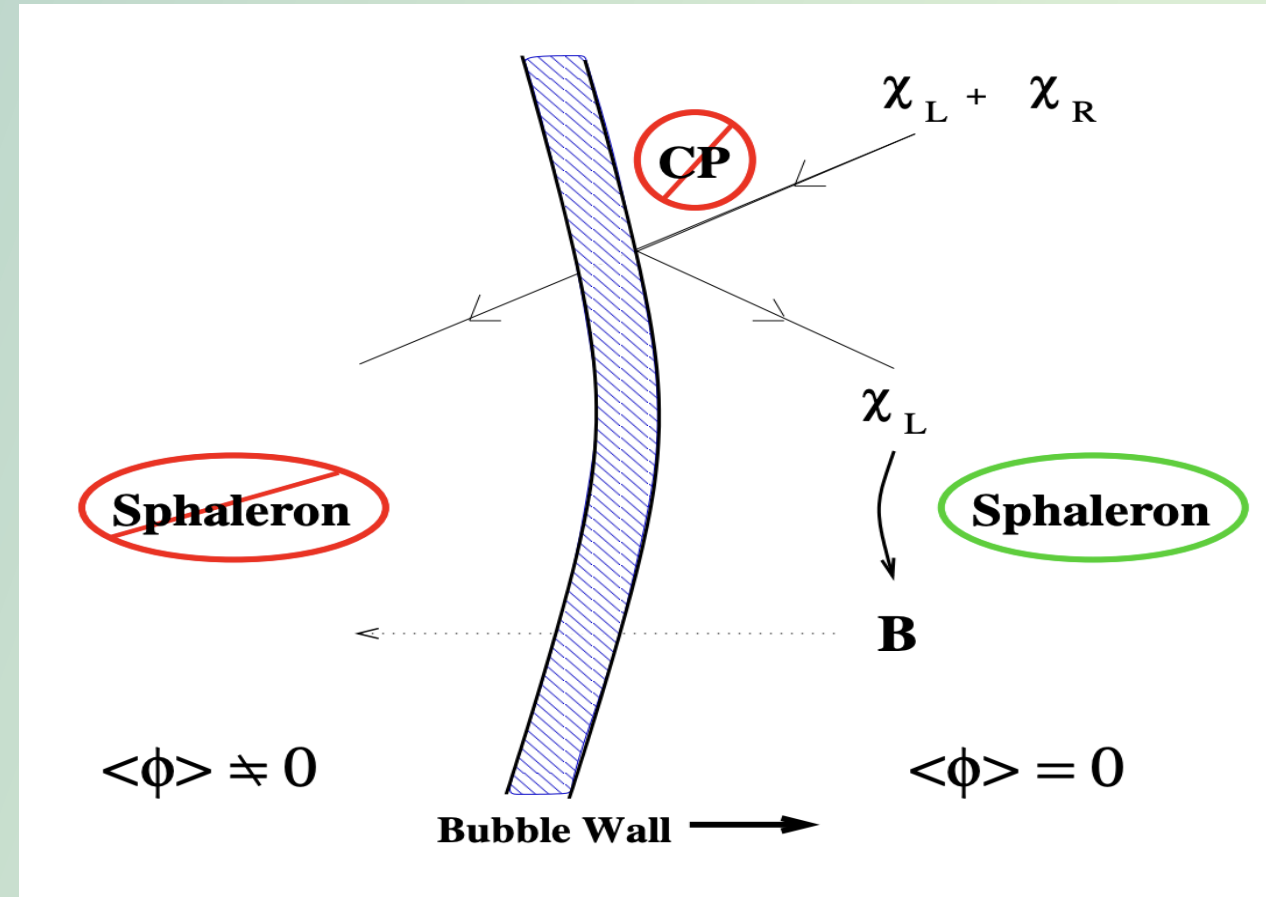
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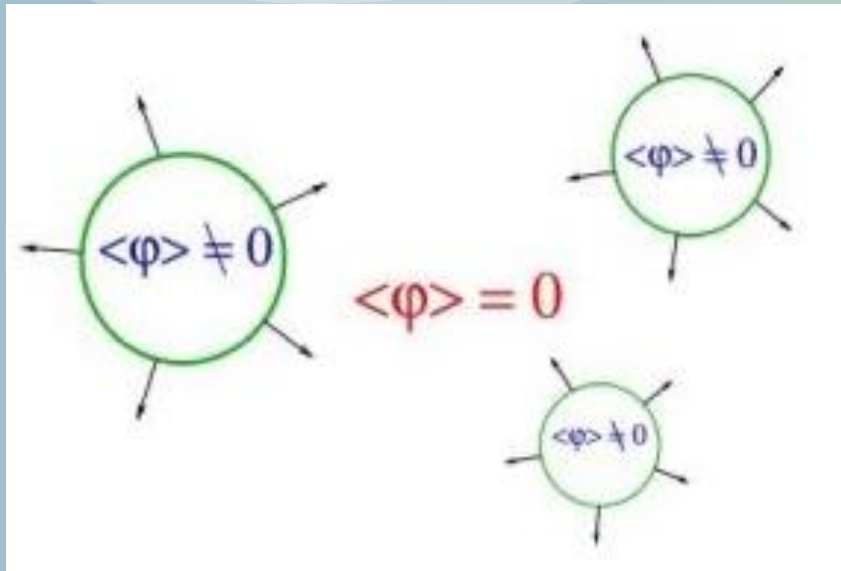
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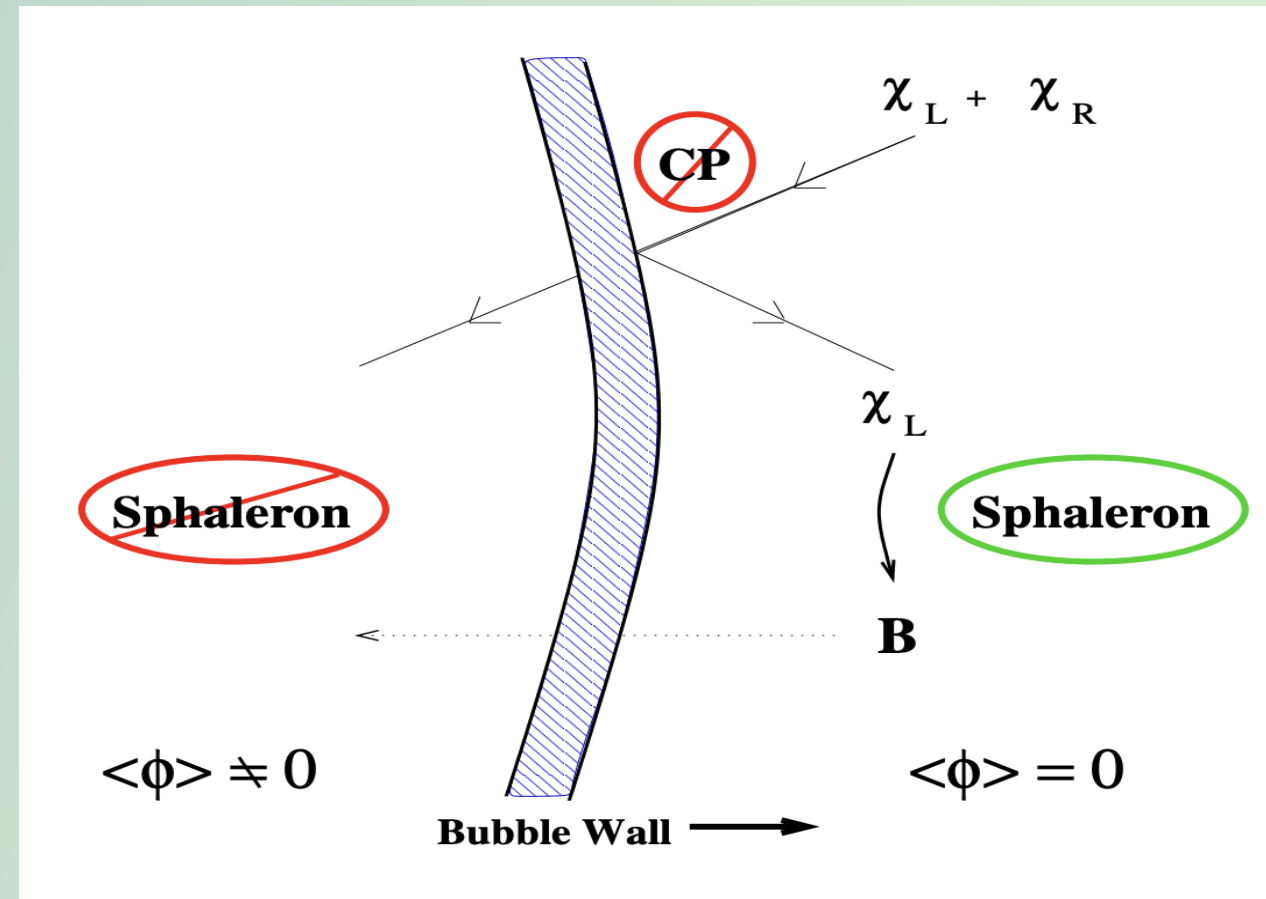
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Bubble wall motion  $\rightarrow$  departure from thermal equilibrium



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Higgs takes different values in causally disconnected zones  
 $\rightarrow$  Vacuum Manifold  $S^2 \times S^1$

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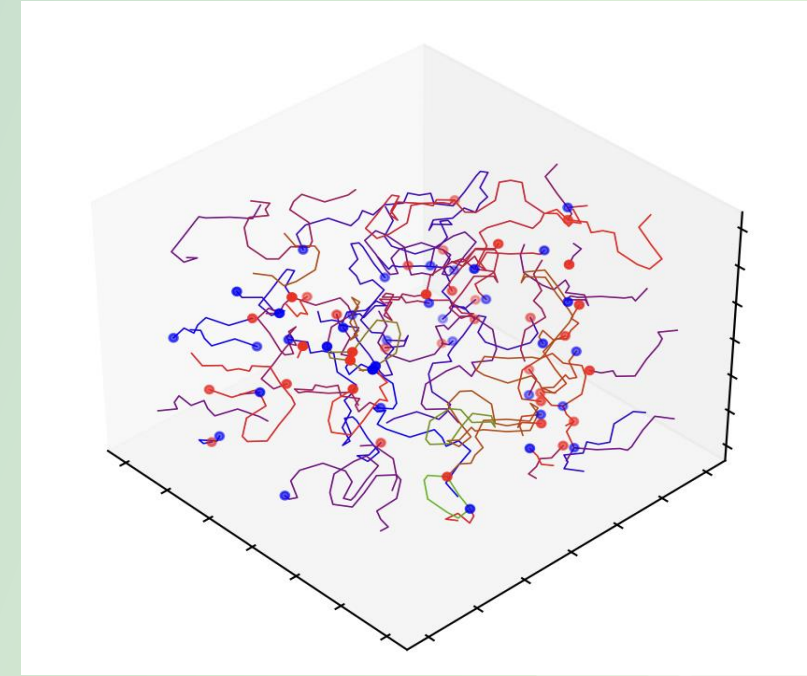
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[2010.10525, 2108.05357, 2302.00512]

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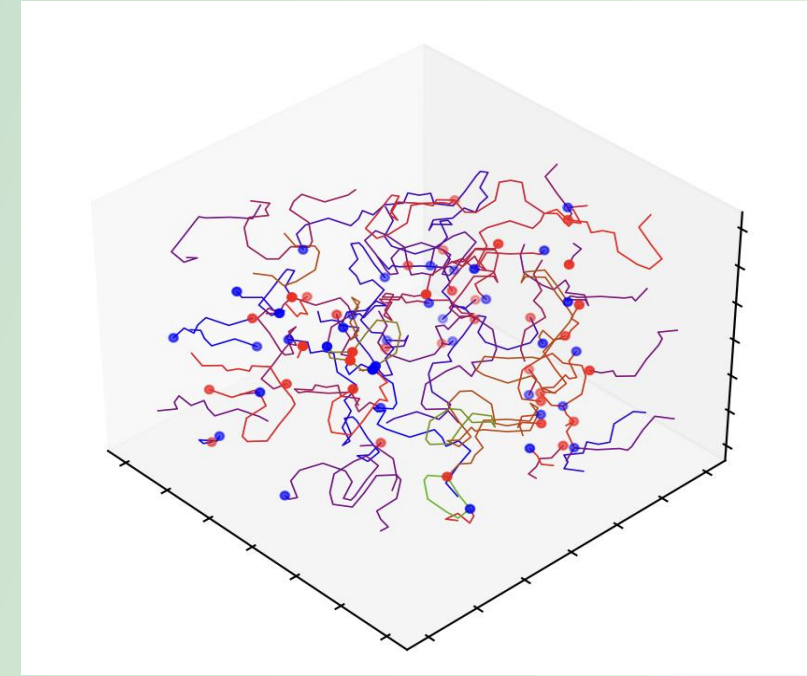
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't Hooft, Vachaspati *et al.*  $\rightarrow$

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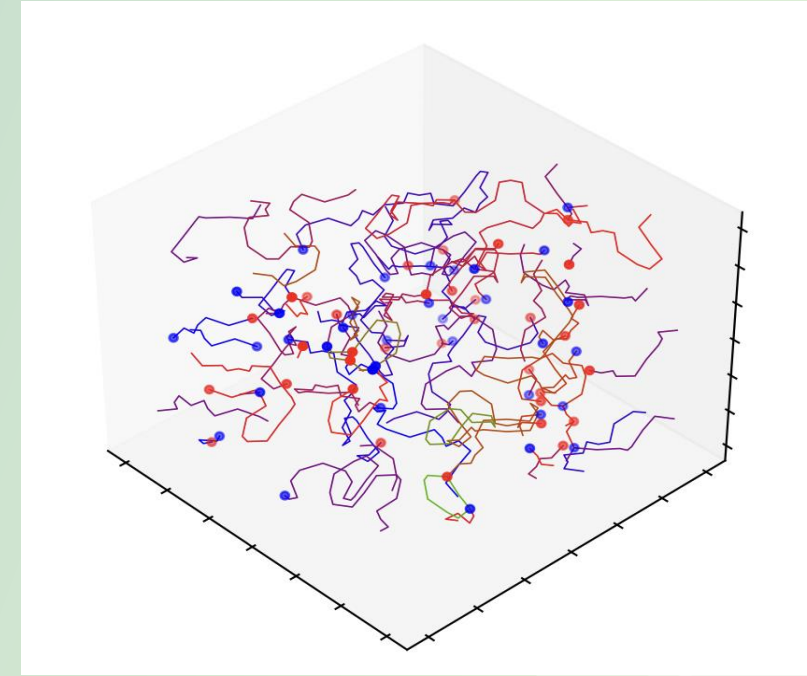
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Annihilation of monopoles-antimonopoles pairs with residual  $\vec{B} \neq 0$





# Introduction: *first-order* phase transitions and primordial magnetic fields

$10^{-16}G < B < 10^{-9}G$  on Mpc scales  
(lower bounds from blazars and upper from CMB)

## EW Magnetogenesis: Kibble Mechanism

$$\text{EWSSB} \rightarrow |\phi|^2 = \phi_1^2 + \phi_2^2 + \phi_3^2 + \phi_4^2 = \eta^2$$

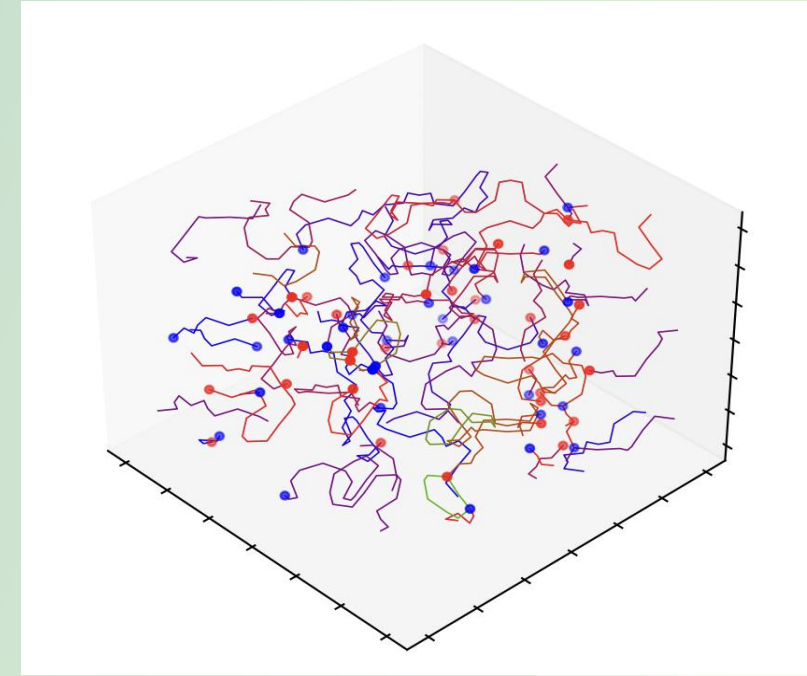
Higgs takes different values in *different broken phase bubbles*

→ Vacuum Manifold  $S^2 \times S^1$

Monopoles and Strings →  $\vec{\nabla} \cdot \vec{B} \neq 0$

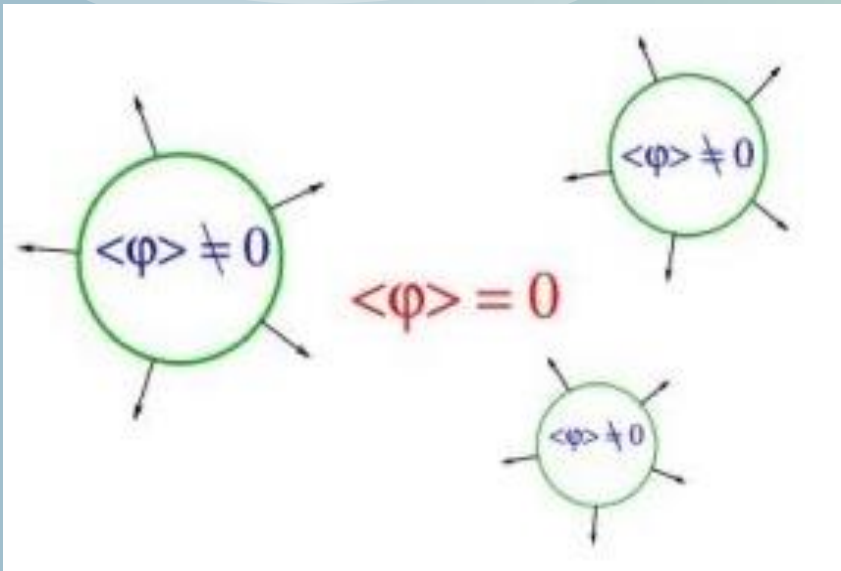
't Hooft, Vachaspati *et al.* → 
$$A_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - i \frac{2 \sin \theta_w}{g} (\partial_\mu \hat{\Phi}^\dagger \partial_\nu \hat{\Phi} - \partial_\nu \hat{\Phi}^\dagger \partial_\mu \hat{\Phi})$$

Annihilation of monopoles-antimonopoles pairs with residual  $\vec{B} \neq 0$



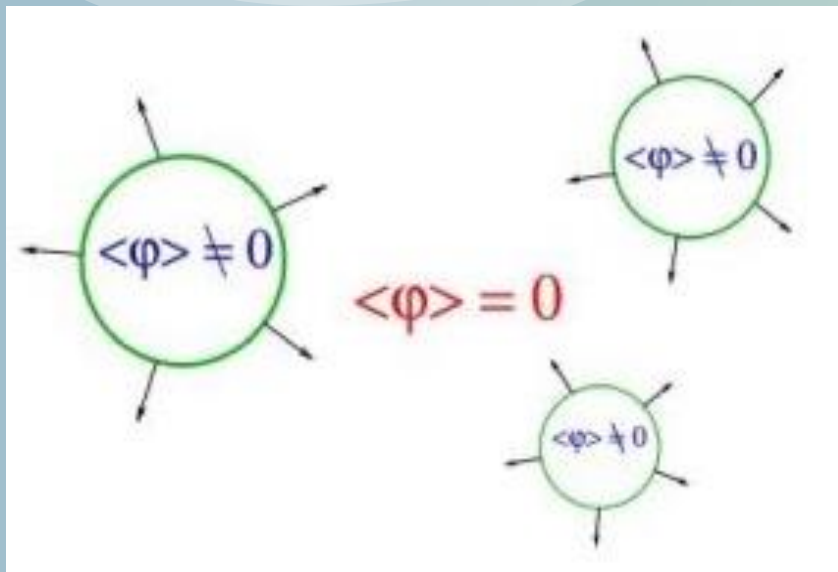
# Introduction: first-order phase transitions and gravitational waves

First-Order Phase Transitions occur through the nucleation of broken phase bubbles

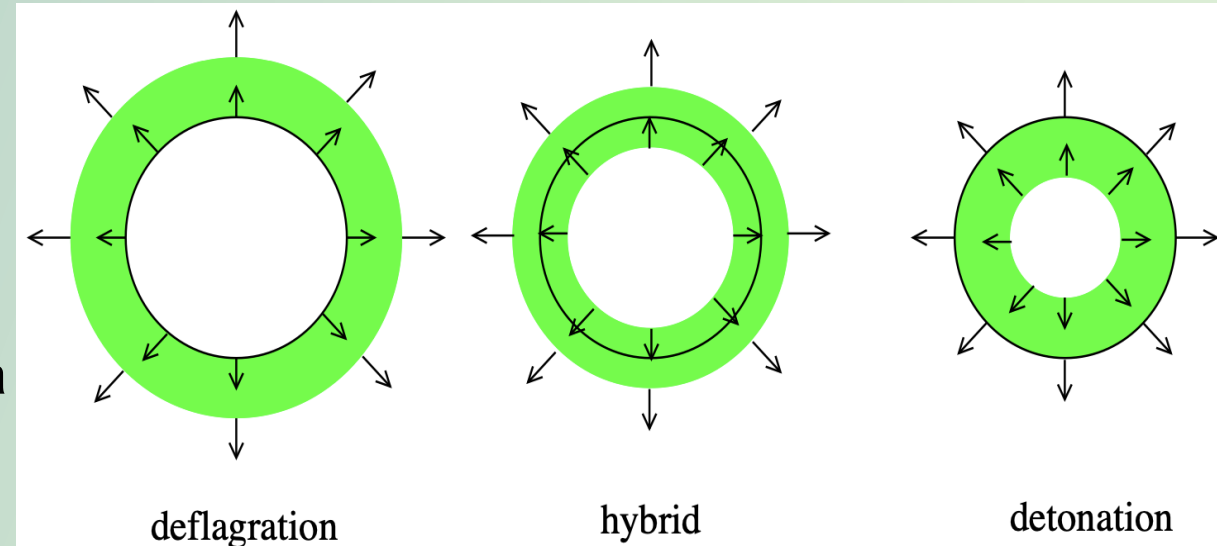


# Introduction: first-order phase transitions and gravitational waves

First-Order Phase Transitions occur through the nucleation of broken phase bubbles



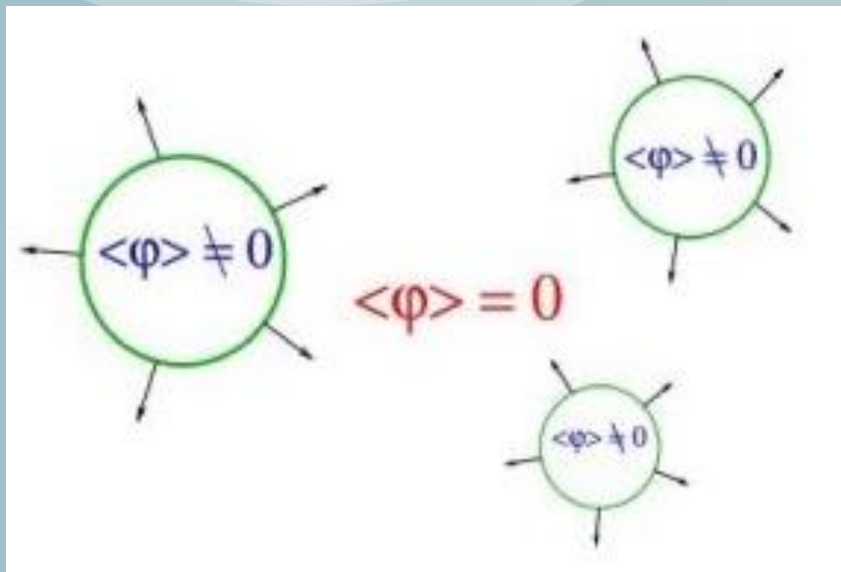
friction between  
scalar and plasma



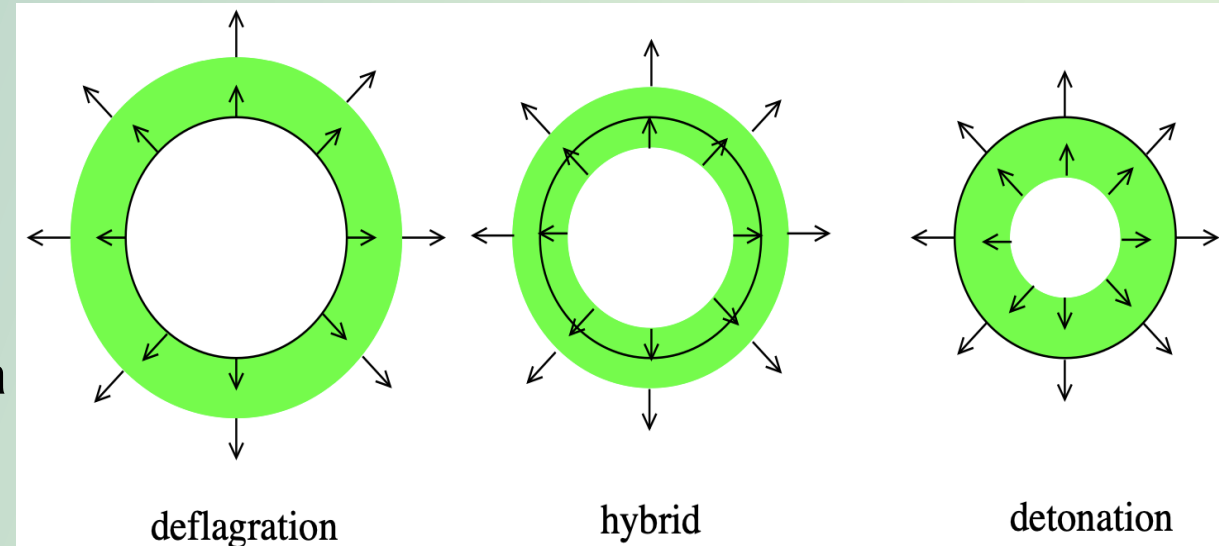
Espinosa et al. [1004.4187]

# Introduction: first-order phase transitions and gravitational waves

First-Order Phase Transitions occur through the nucleation of broken phase bubbles



friction between  
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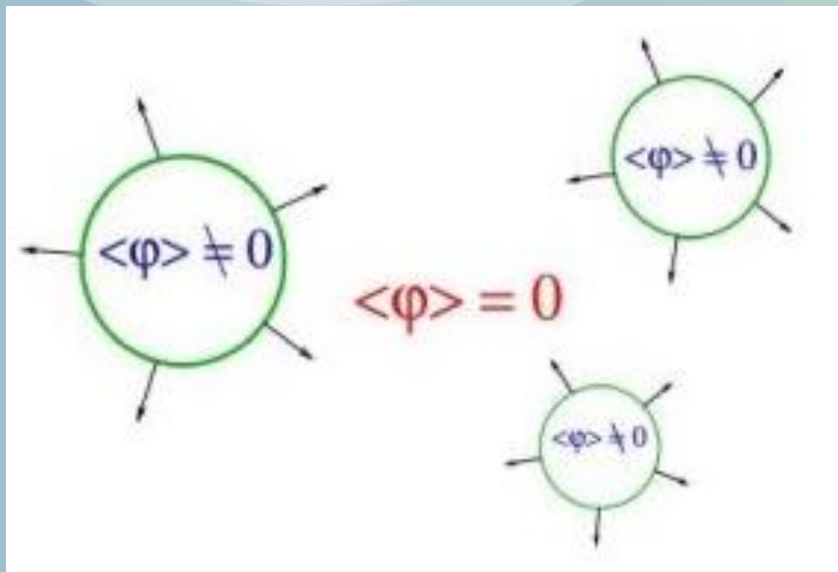


Espinosa et al. [1004.4187]

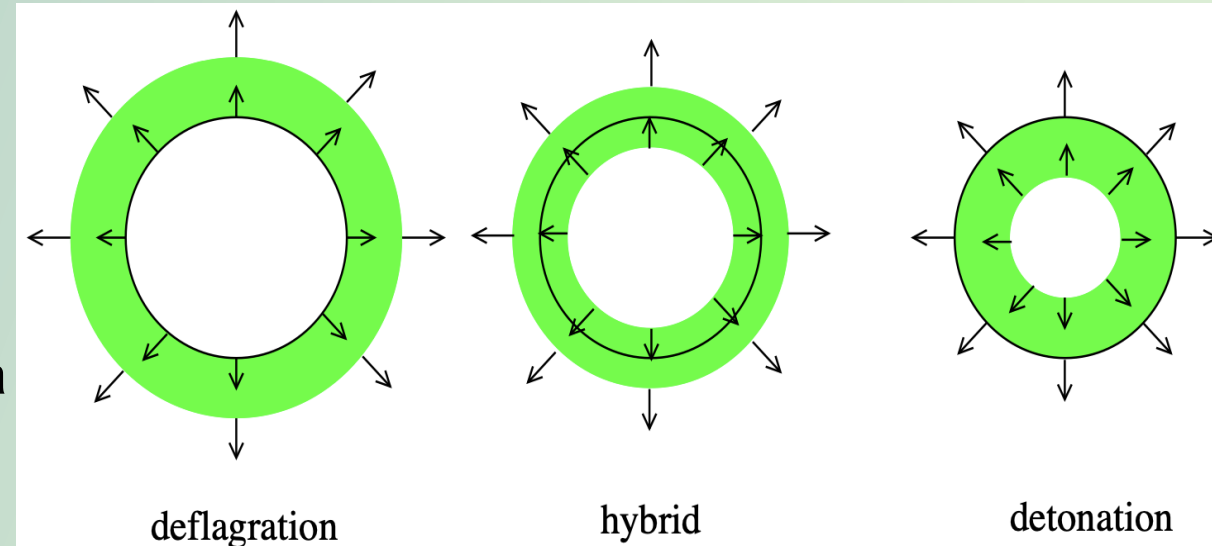
**Bubble expansion phase** → scalar and fluid profiles are spherically symmetric

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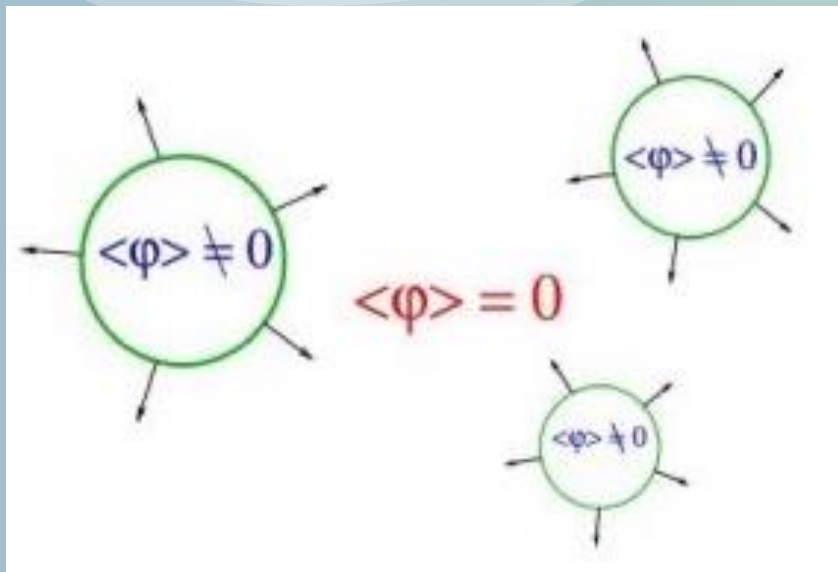
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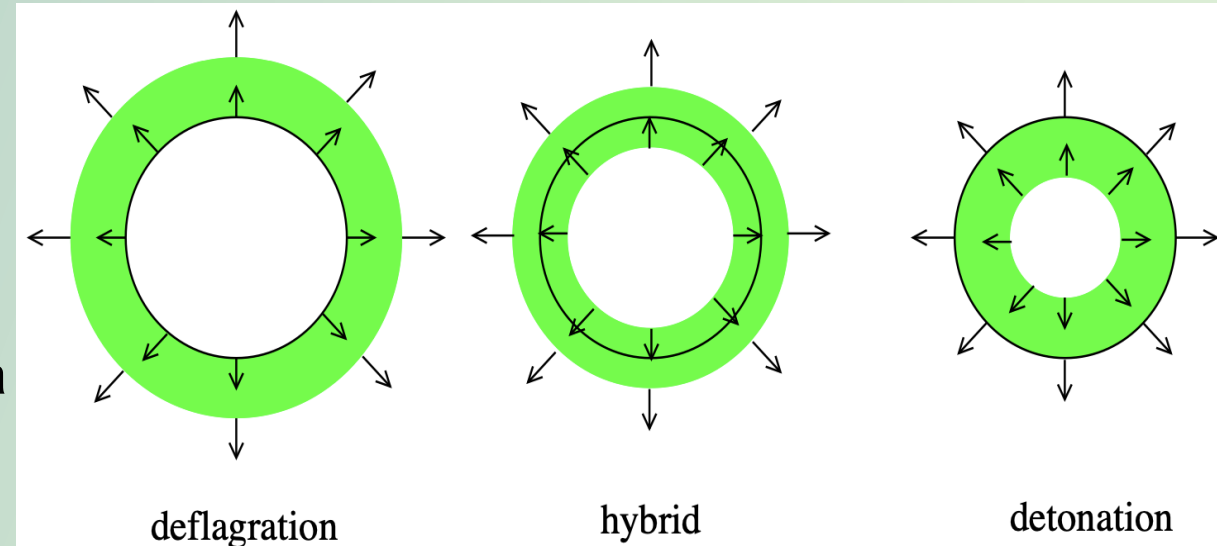
**No anisotropic stresses** → No gravitational wave production (see Lecture by Chiara)

# Introduction: first-order phase transitions and gravitational waves

First-Order Phase Transitions occur through the nucleation of broken phase bubbles



friction between  
scalar and plasma



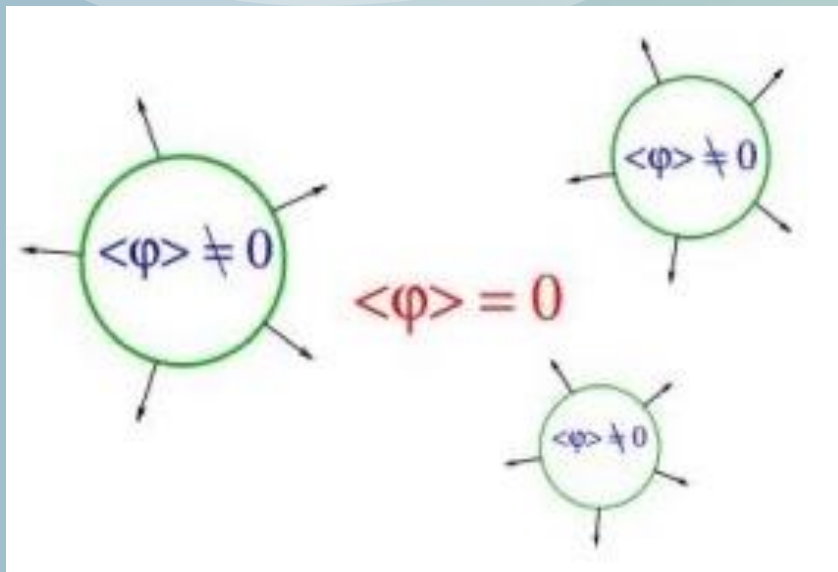
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Bubble collisions break spherical symmetry

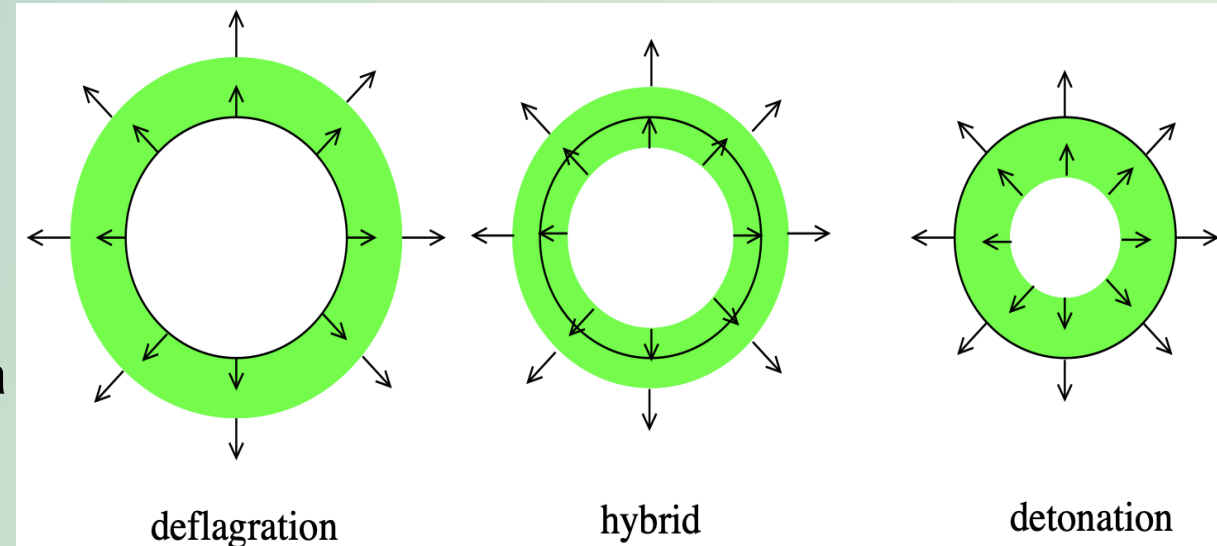


# Introduction: first-order phase transitions and gravitational waves

First-Order Phase Transitions occur through the nucleation of broken phase bubbles



friction between  
scalar and plasma



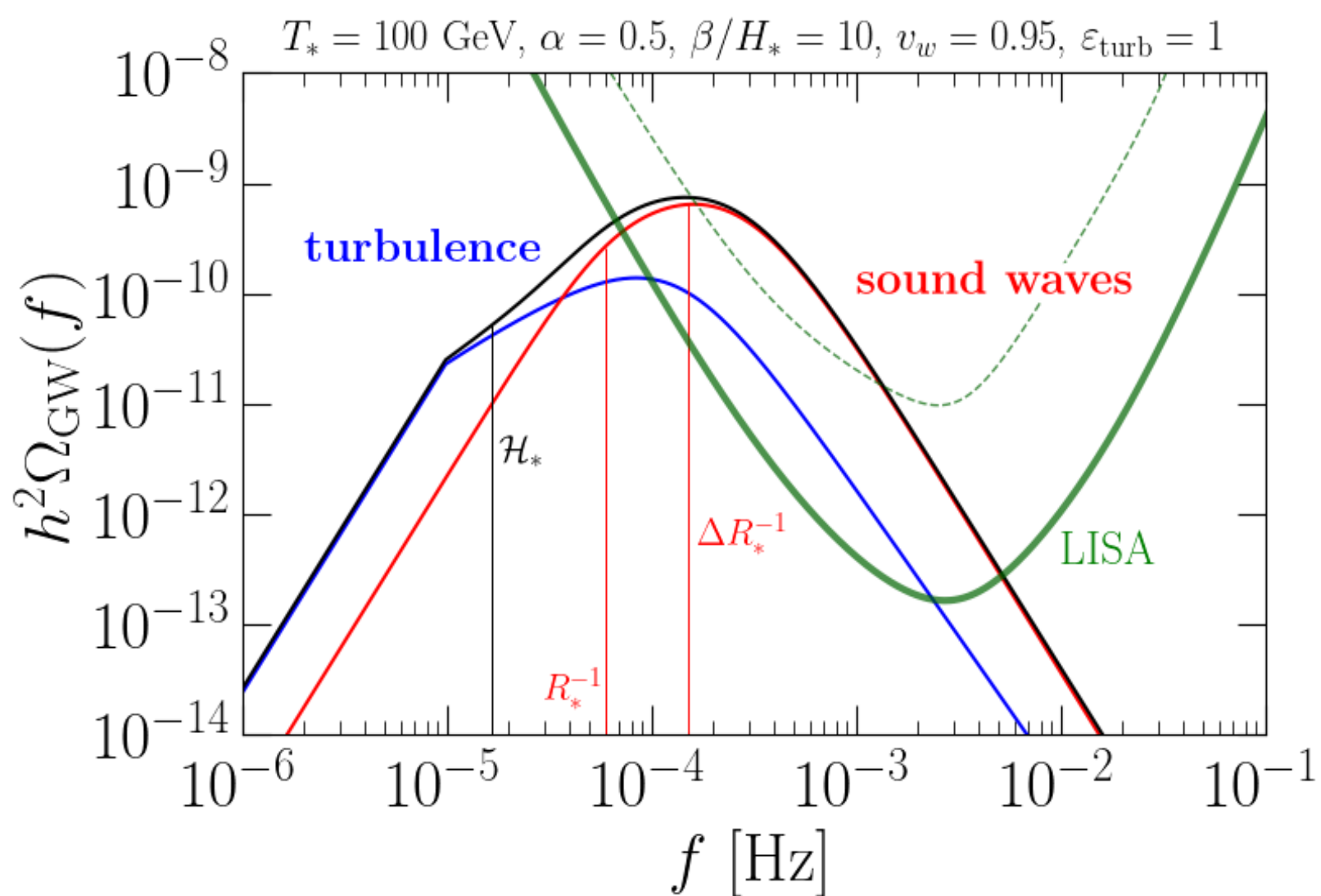
Espinosa et al. [1004.4187]

Bubble collisions break spherical symmetry

Nonzero anisotropic stresses  $\rightarrow$  scalar and fluid can produce gravitational waves



# Introduction: first-order phase transitions and gravitational waves

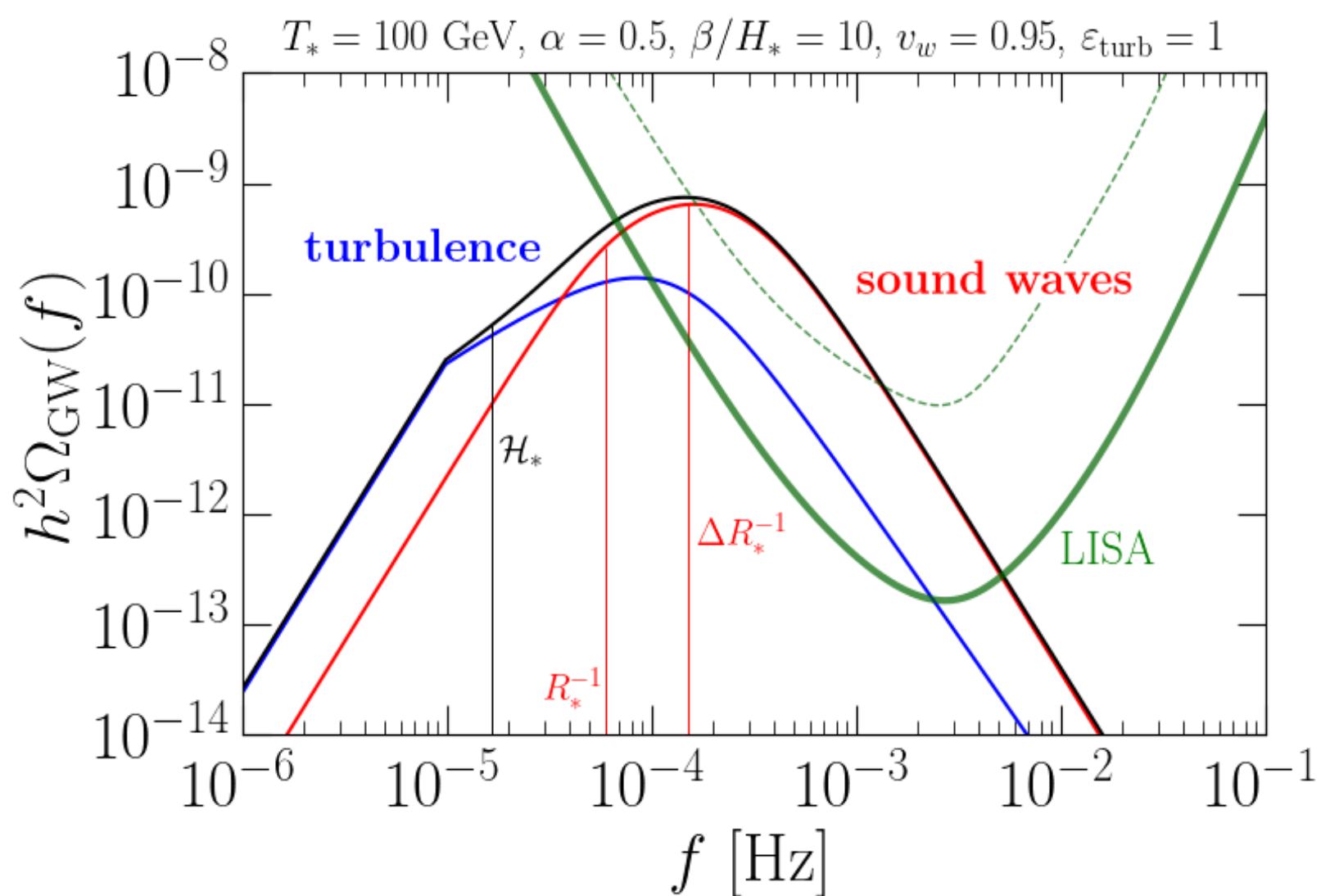


GW background from EW phase transition in the **LISA** sensitivity band!

← Credits: Alberto Roper Pol

Lisa Cosmology Working Group [2403.03723]

# Introduction: first-order phase transitions and gravitational waves



## Sound-shell model

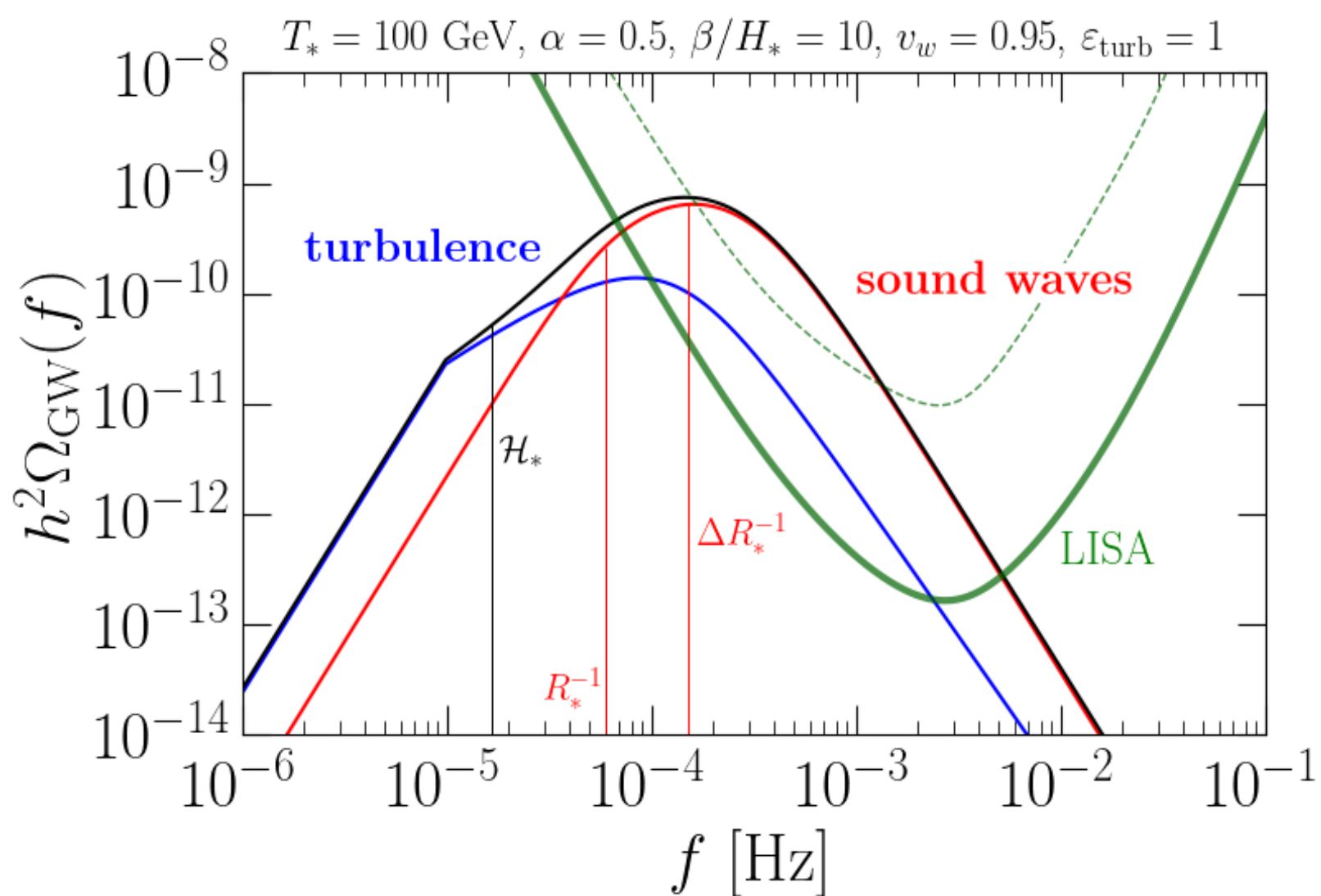
Hindmarsh & Hijazi [1909.10040]

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# Introduction: first-order phase transitions and gravitational waves



## Sound-shell model

Hindmarsh & Hijazi [1909.10040]

## Constant-in-time model

Roper Pol, Caprini et al. [2201.05630]

GW background from EW phase transition in the **LISA** sensitivity band!

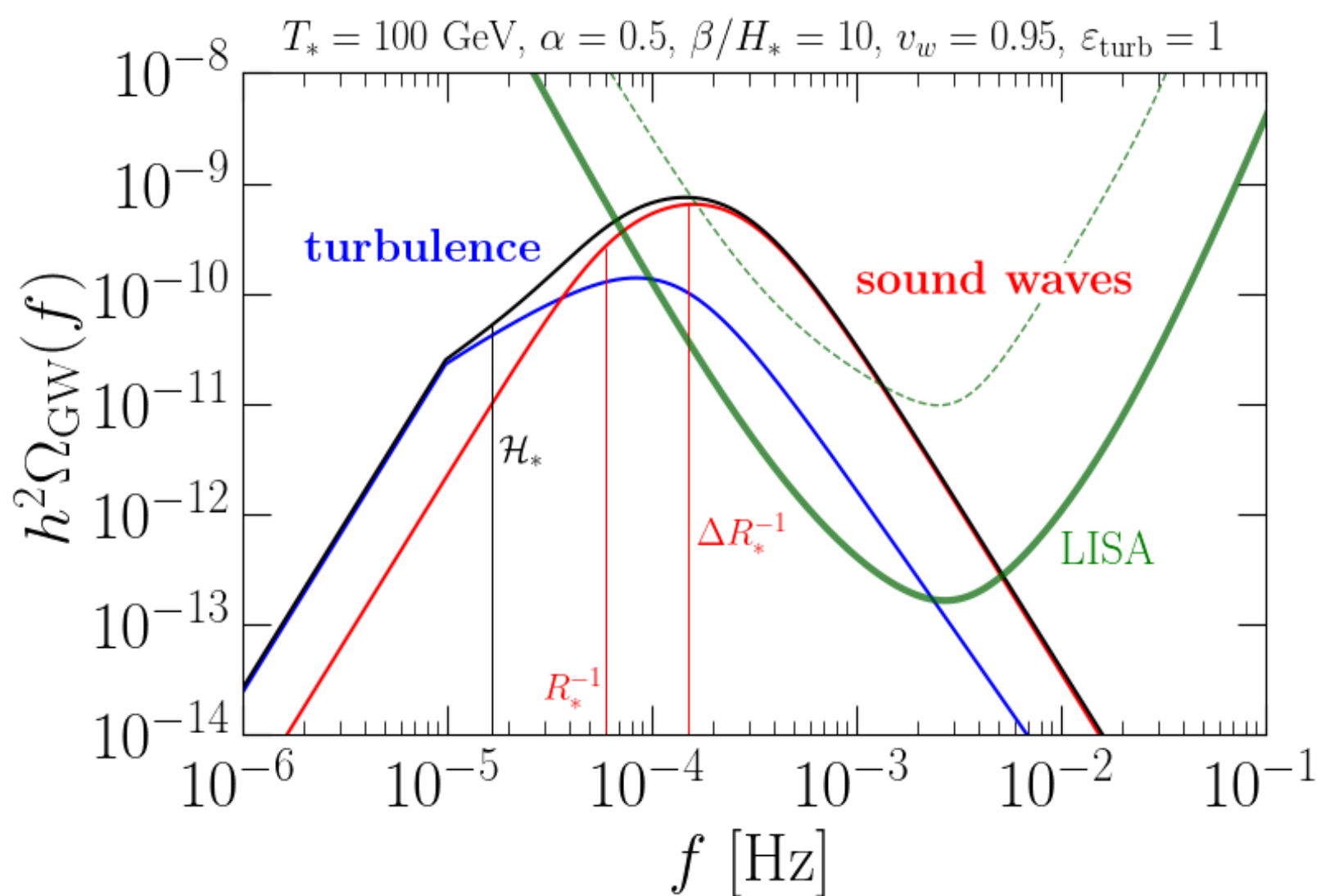
← Credits: Alberto Roper Pol

Lisa Cosmology Working Group [2403.03723]

# Gravitational Waves from sound waves

[*Ongoing work* in collaboration with C. Caprini, S. Procacci, A. Roper Pol]

# Introduction: first-order phase transitions and gravitational waves



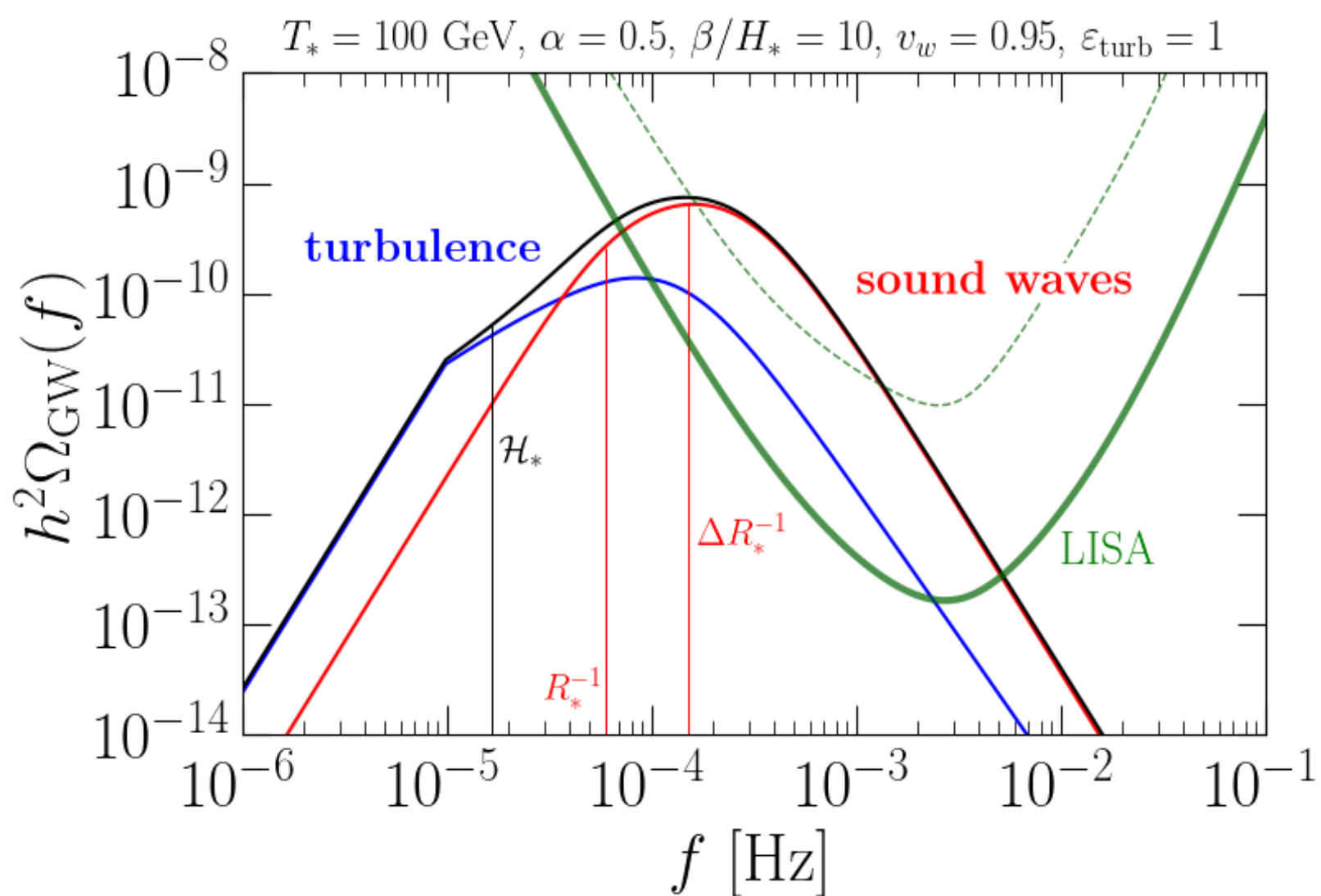
## Sound-shell model

Hindmarsh & Hijazi [1909.10040]

← Credits: Alberto Roper Pol

Lisa Cosmology Working Group [2403.03723]

# Introduction: first-order phase transitions and gravitational waves



## Sound-shell model

Hindmarsh & Hijazi [1909.10040]

What is the origin of the peak scales in the GW spectrum from sound waves?

Are they actually related to  $R_*$  &  $\Delta R_*$ ?

← Credits: Alberto Roper Pol

Lisa Cosmology Working Group [2403.03723]

# Fluid perturbations from expanding scalar bubbles

$$T_{\mu\nu}^{\text{tot}} = w_{\text{tot}} u_{\mu} u_{\nu} + p_{\text{tot}} g_{\mu\nu} + \partial_{\mu} \phi \partial_{\nu} \phi - g_{\mu\nu} \left( \frac{1}{2} \partial_{\sigma} \phi \partial^{\sigma} \phi \right)$$

$$w_{\text{tot}} = w - T \frac{\partial V_{\text{eff}}(\phi, T)}{\partial T}$$

$$p_{\text{tot}} = p - V_{\text{eff}}(\phi, T)$$



# Fluid perturbations from expanding scalar bubbles

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$$\begin{cases} \nabla_{\mu} T_{\text{tot}}^{\mu\nu} = 0 \\ \nabla_{\sigma} (\partial^{\sigma} \phi) - \frac{\partial V}{\partial \phi} = \delta_{friction} \end{cases}$$

$$\eta u^{\mu} \partial_{\mu} \phi \quad ?$$

# Fluid perturbations from expanding scalar bubbles

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$$\uparrow \eta u^{\mu} \partial_{\mu} \phi \text{ ?}$$

Full picture requires lattice simulations

[1504.03291] [2409.03651] [2505.17824]

What can we understand analytically?

# Fluid perturbations from expanding scalar bubbles

---

$$T_{\mu\nu}^{\text{tot}} = w_{\text{tot}} u_{\mu} u_{\nu} + p_{\text{tot}} g_{\mu\nu} + \partial_{\mu} \phi \partial_{\nu} \phi - g_{\mu\nu} \left( \frac{1}{2} \partial_{\sigma} \phi \partial^{\sigma} \phi \right)$$

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# Fluid perturbations from expanding scalar bubbles

---

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Simplifying assumptions:

- Flat spacetime  $g_{\mu\nu} \rightarrow \eta_{\mu\nu}$

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Simplifying assumptions:

- Flat spacetime  $g_{\mu\nu} \rightarrow \eta_{\mu\nu}$
- Bag equation of state  $\longrightarrow$   $\begin{matrix} (+) \text{ Symmetric phase} \\ (-) \text{ Broken phase} \end{matrix} \longrightarrow$ 
  - $p_{\text{tot}}^{\pm} = \frac{1}{3} a_{\pm} T_{\pm}^4 - \epsilon_{\pm}$
  - $e_{\text{tot}}^{\pm} = a_{\pm} T_{\pm}^4 + \epsilon_{\pm}$
  - $w_{\text{tot}}^{\pm} = e_{\text{tot}}^{\pm} + p_{\text{tot}}^{\pm}$

# Fluid perturbations from expanding scalar bubbles

$$T_{\mu\nu}^{\text{tot}} = w_{\text{tot}} u_{\mu} u_{\nu} + p_{\text{tot}} g_{\mu\nu} + \partial_{\mu} \phi \partial_{\nu} \phi - g_{\mu\nu} \left( \frac{1}{2} \partial_{\sigma} \phi \partial^{\sigma} \phi \right)$$

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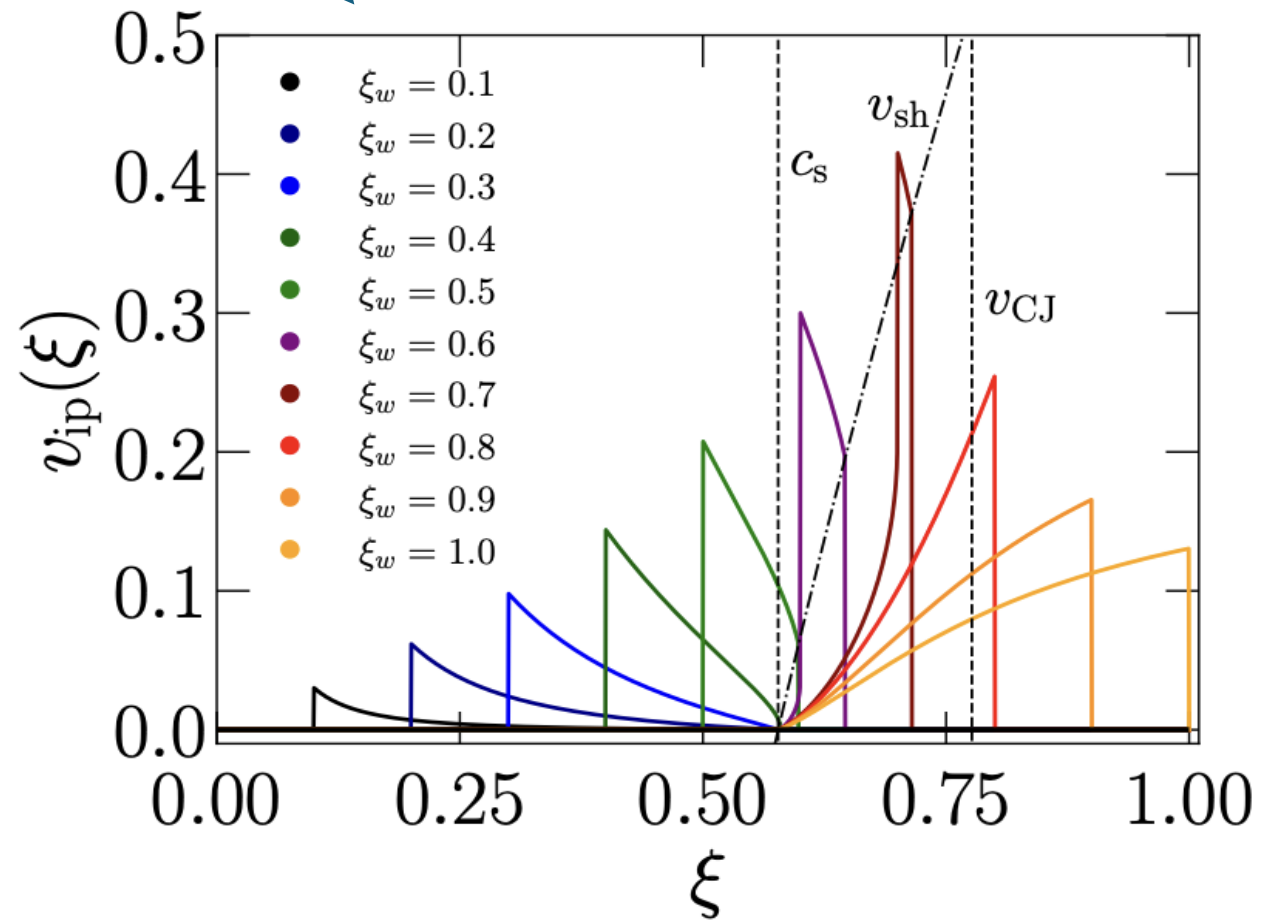
$$e_{\text{tot}}^{\pm} = a_{\pm} T_{\pm}^4 + \epsilon_{\pm}$$

$$w_{\text{tot}}^{\pm} = e_{\text{tot}}^{\pm} + p_{\text{tot}}^{\pm}$$

- Neglect scalar field profiles

# Fluid perturbations from expanding scalar bubbles

**pip install cosmoGW**

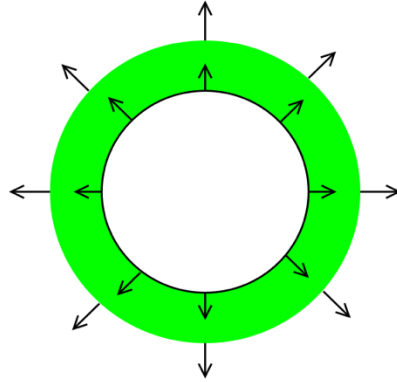




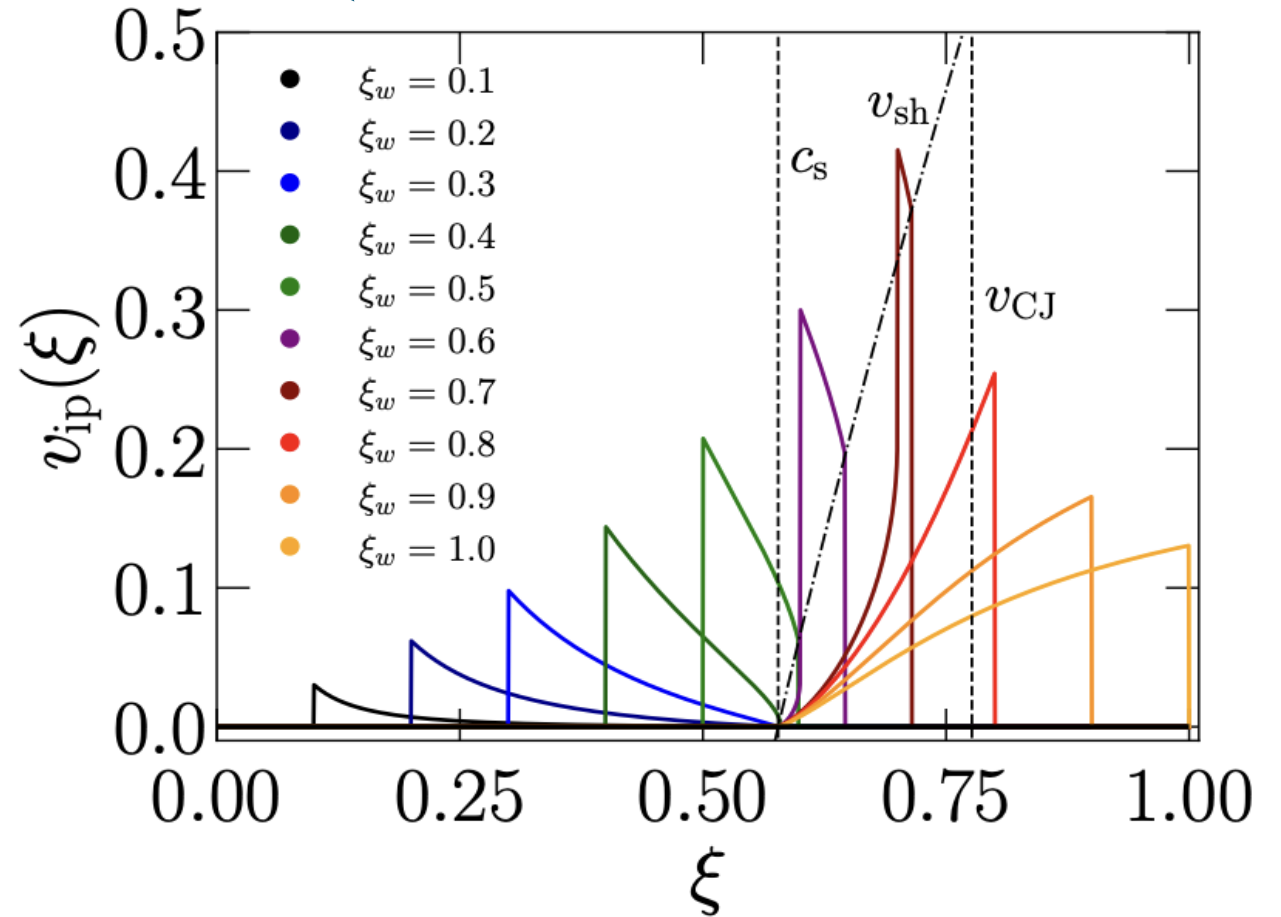
# Fluid perturbations from expanding scalar bubbles

DEFLAGRATIONS

$$\xi_w < c_s$$



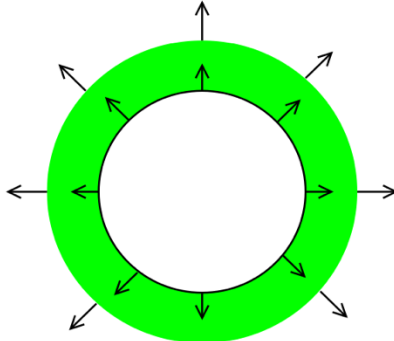
`pip install cosmoGW`



# Fluid perturbations from expanding scalar bubbles

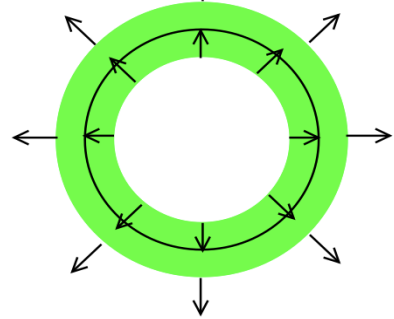
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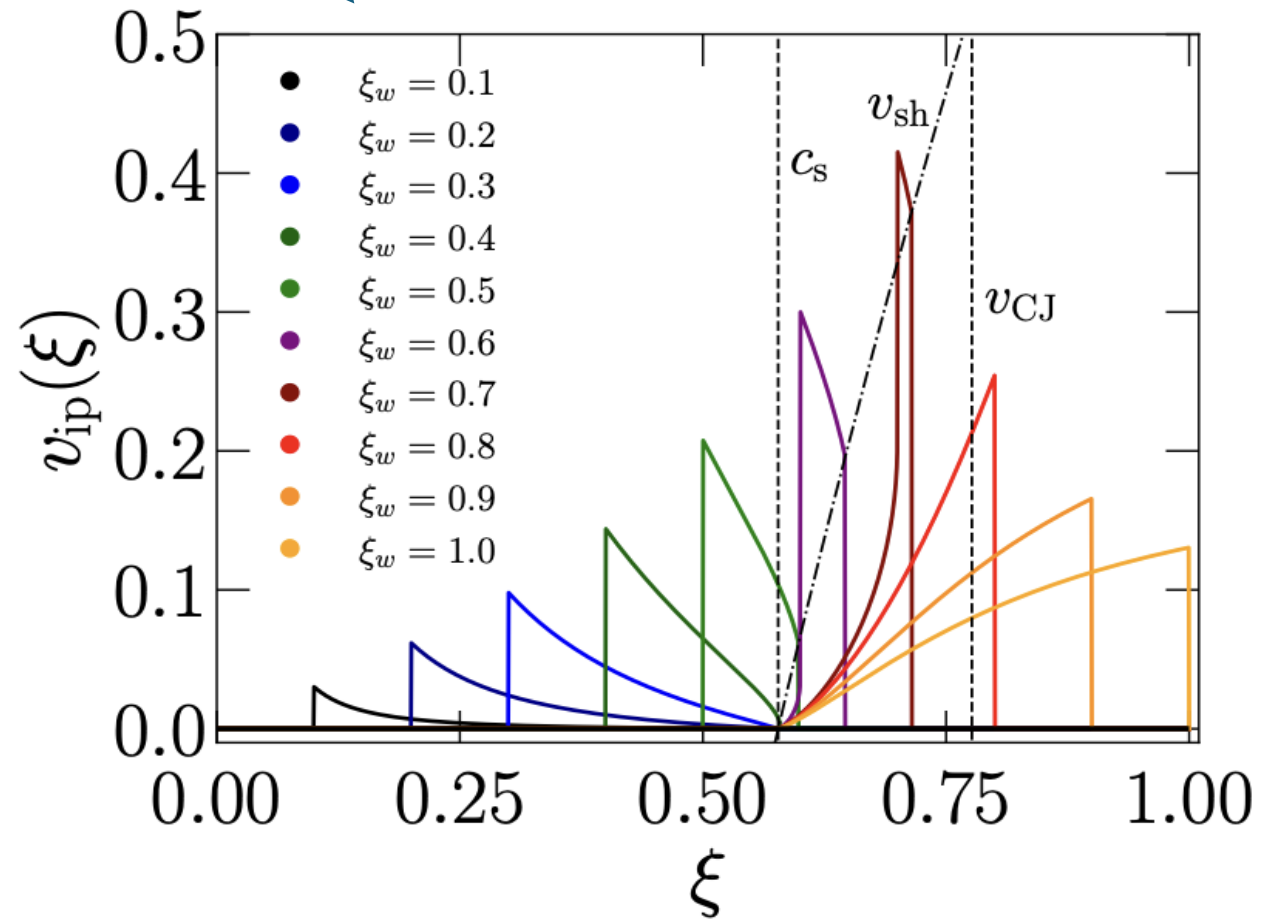
HYBRIDS

$$c_s < \xi_w < v_{CJ}(\alpha)$$



`pip install cosmoGW`

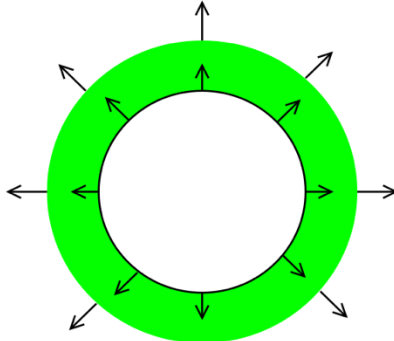
$$v_{CJ}(\alpha) = \frac{1 + \sqrt{\alpha(2 + 3\alpha)}}{\sqrt{3}(1 + \alpha)}$$



# Fluid perturbations from expanding scalar bubbles

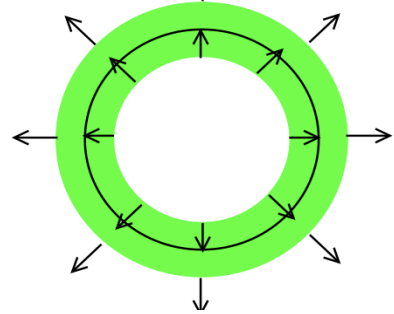
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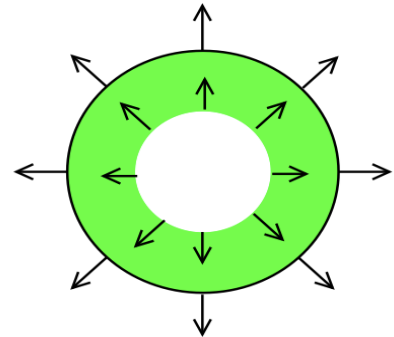
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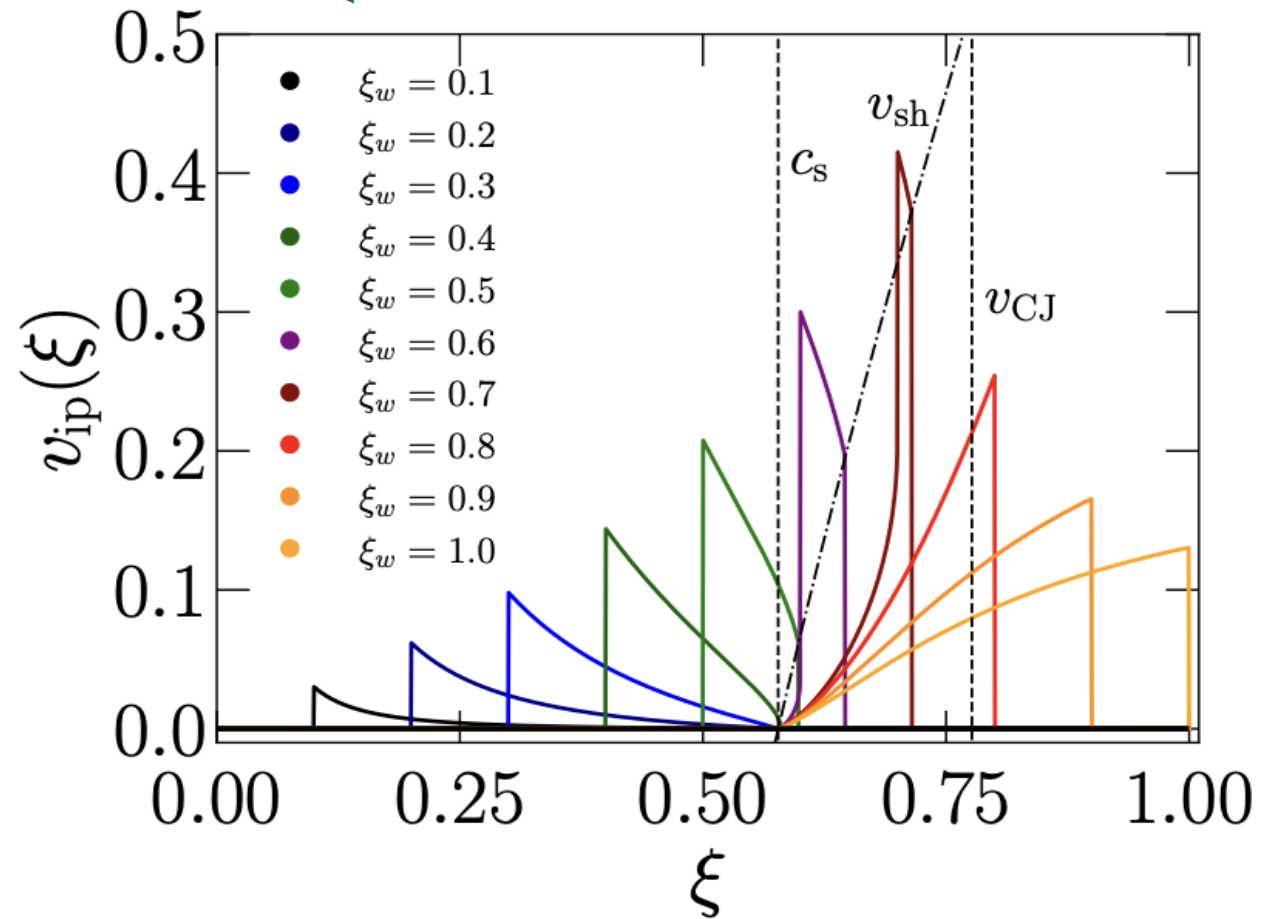
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`pip install cosmoGW`

$$v_{CJ}(\alpha) = \frac{1 + \sqrt{\alpha(2 + 3\alpha)}}{\sqrt{3}(1 + \alpha)}$$



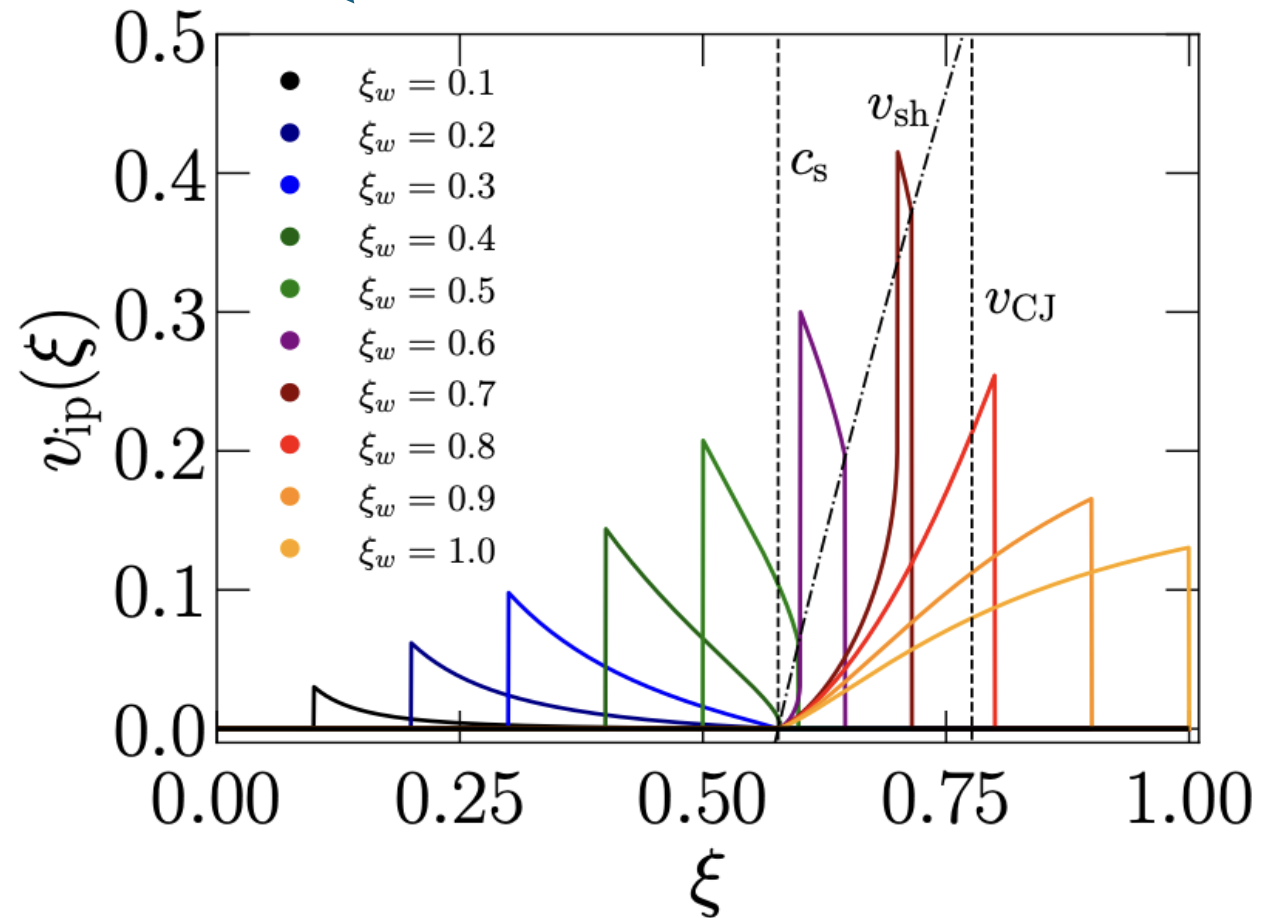
# Fluid perturbations from expanding scalar bubbles

Properties of the profiles:

- Compact support  
 $v_{ip}(\xi) \neq 0$  for  $\xi_b < \xi < \xi_f$
- Discontinuity at  $\xi_w$
- Deflagrations and hybrids have an additional discontinuity at  $\xi = v_{sh}$

`pip install cosmoGW`

$$v_{CJ}(\alpha) = \frac{1 + \sqrt{\alpha(2 + 3\alpha)}}{\sqrt{3}(1 + \alpha)}$$



# Evolution of the fluid perturbations: *before* collisions

The kinetic spectrum in the bubble expansion phase is an average over stochastic realizations

$$\langle v_i(t, \mathbf{k}) v_j^*(t, \mathbf{k}') \rangle_{x_0^{(n)}, t_0^{(n)}}$$

$$\mathbf{v}^{(n)}(t, \mathbf{k}) = -i [t^{(n)}]^3 e^{i\mathbf{k} \cdot \mathbf{x}_0^{(n)}} \hat{\mathbf{k}} f'(z)$$

$$f'(z) = -4\pi \int_0^\infty j_1(z\xi) \xi^2 v_{ip}(\xi) d\xi$$

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$$\langle v_i(t, \mathbf{k}) v_j^*(t, \mathbf{k}') \rangle_{x_0^{(n)}} = \hat{\mathbf{k}}_i \hat{\mathbf{k}}_j \delta^{(3)}(\mathbf{k} - \mathbf{k}') n_b(t) (t - t_0)^6 |f'(z)|^2$$



Average over nucleation locations (homogeneously distributed)

# Properties of $|f'(z)|^2$

---

$$\langle v_i(t, \mathbf{k}) v_j^*(t, \mathbf{k}') \rangle_{x_0^{(n)}} = \hat{\mathbf{k}}_i \hat{\mathbf{k}}_j \delta^{(3)}(\mathbf{k} - \mathbf{k}') n_b(t) (t - t_0)^6 |f'(z)|^2$$

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From causality

Large scales  $k = z/t^{(n)} \rightarrow 0$

$$|f'(z)|^2 \rightarrow |f'_0|^2 z^2$$



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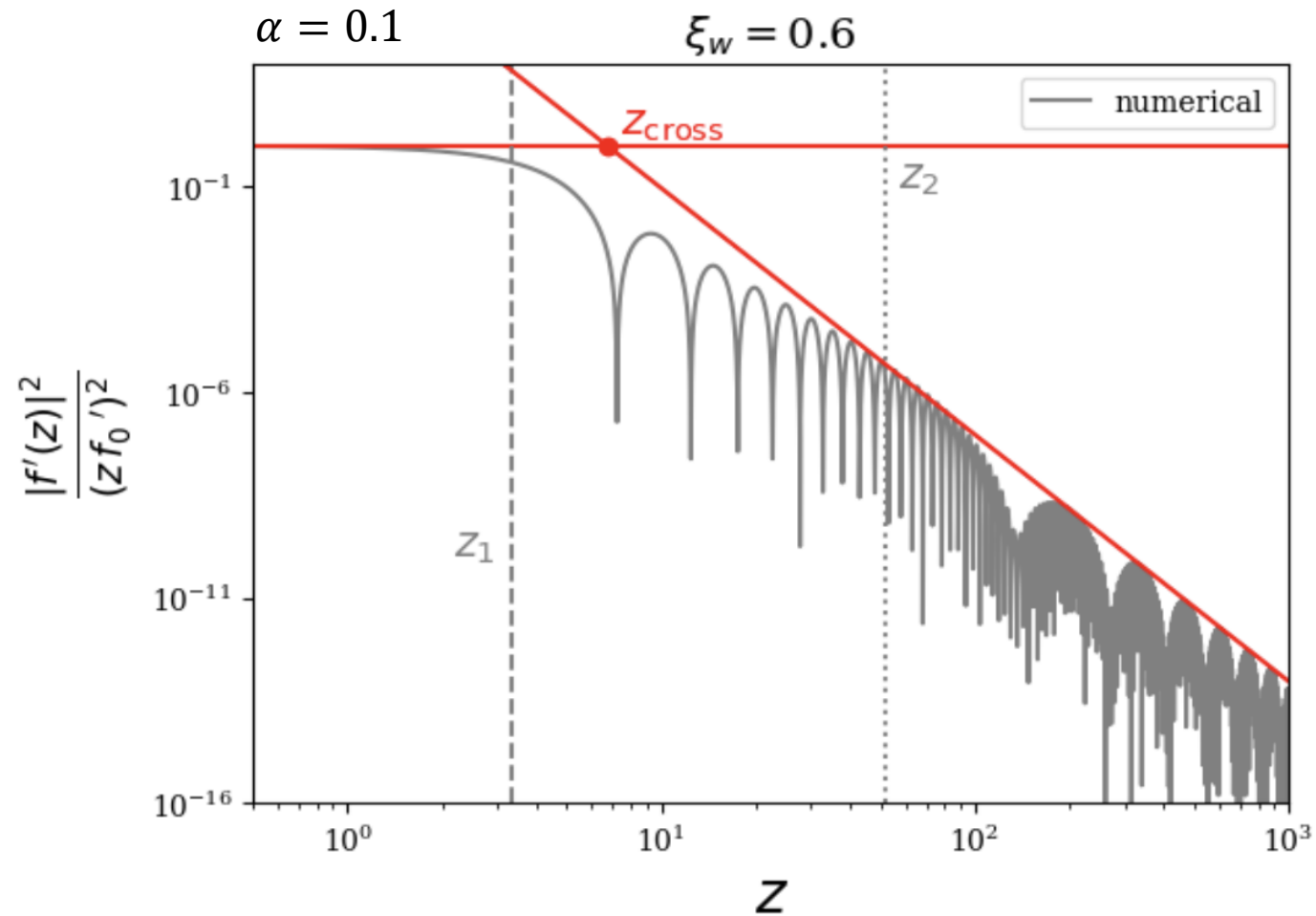
Small scales  $k = z/t^{(n)} \rightarrow \infty$

$$|f'(z)|^2 \rightarrow |f'_\infty|^2 z^{-4}$$

From the discontinuities of  $v_{ip}(\xi)$

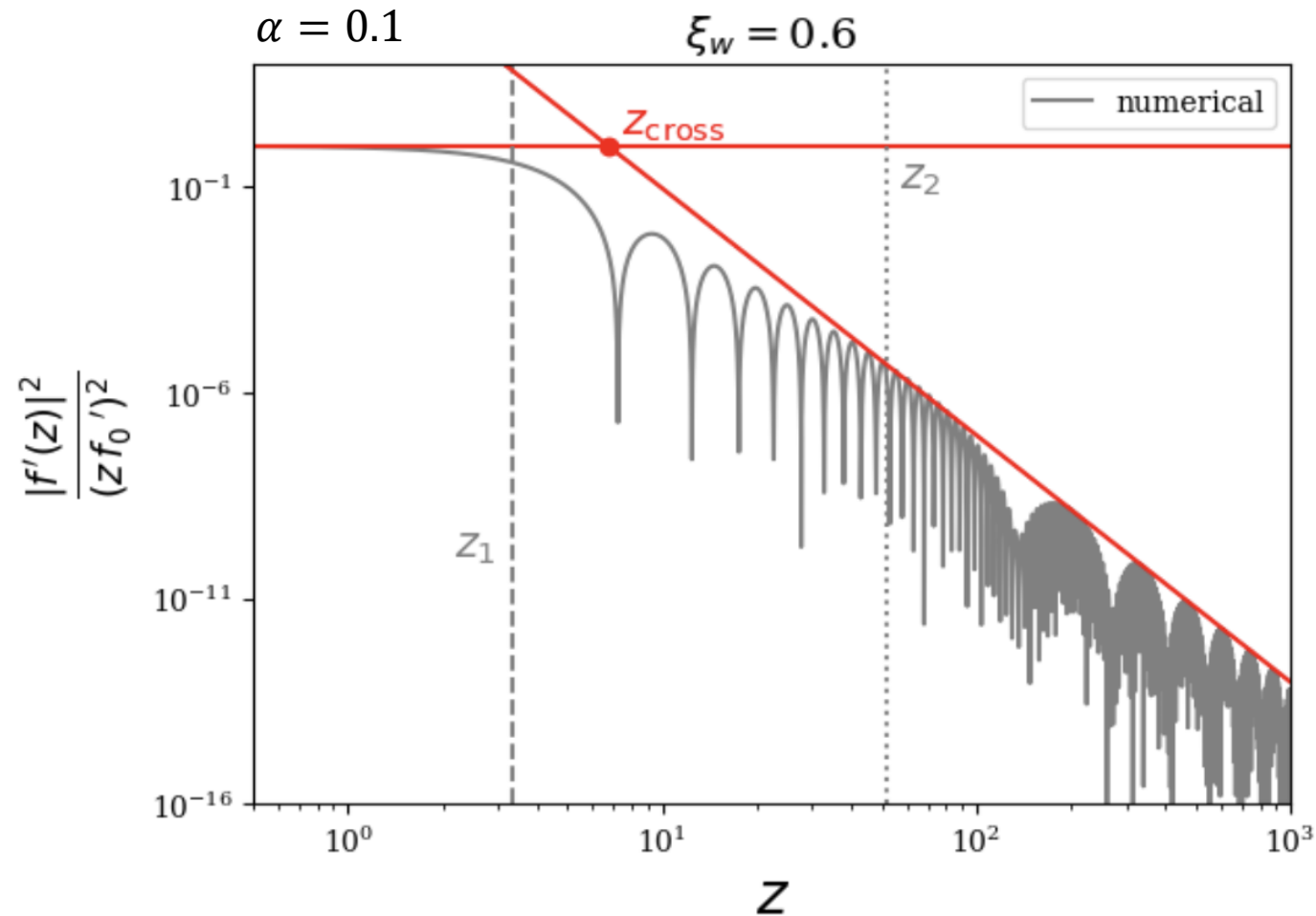
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The  $\sim z^2$  ends around  $z_1 \approx \frac{3\pi}{2} (\xi_f + \xi_b)^{-1}$



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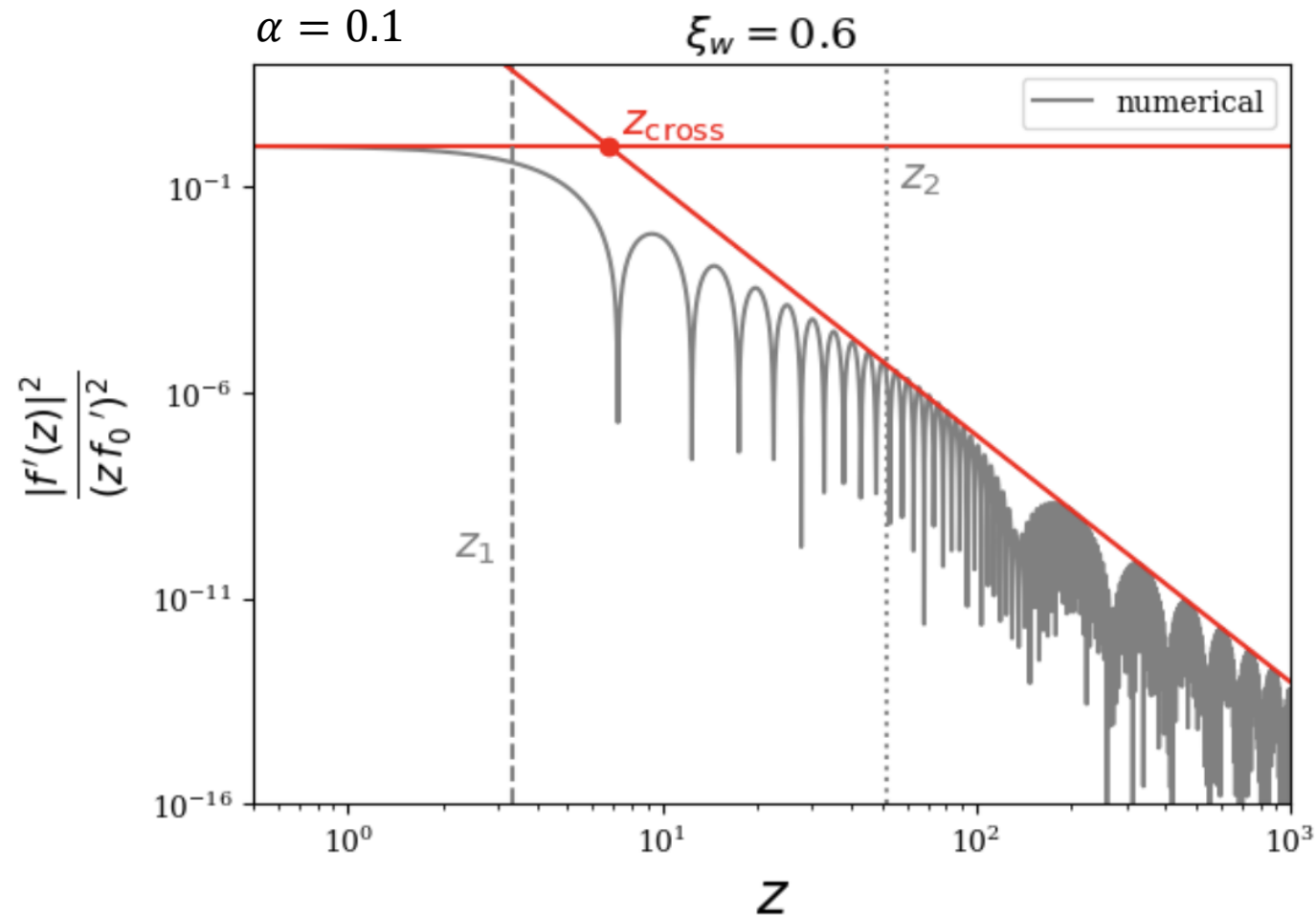


The  $\sim z^{-4}$  begins around

$$z_2 \approx \pi \times \begin{cases} (\xi_f - \xi_b)^{-1} & (\xi_w < c_s) \\ (\xi_f - \xi_w)^{-1} & (c_s < \xi_w < v_{CJ}) \\ (\xi_f - \xi_b)^{-1} & (\xi_w > v_{CJ}) \end{cases}$$

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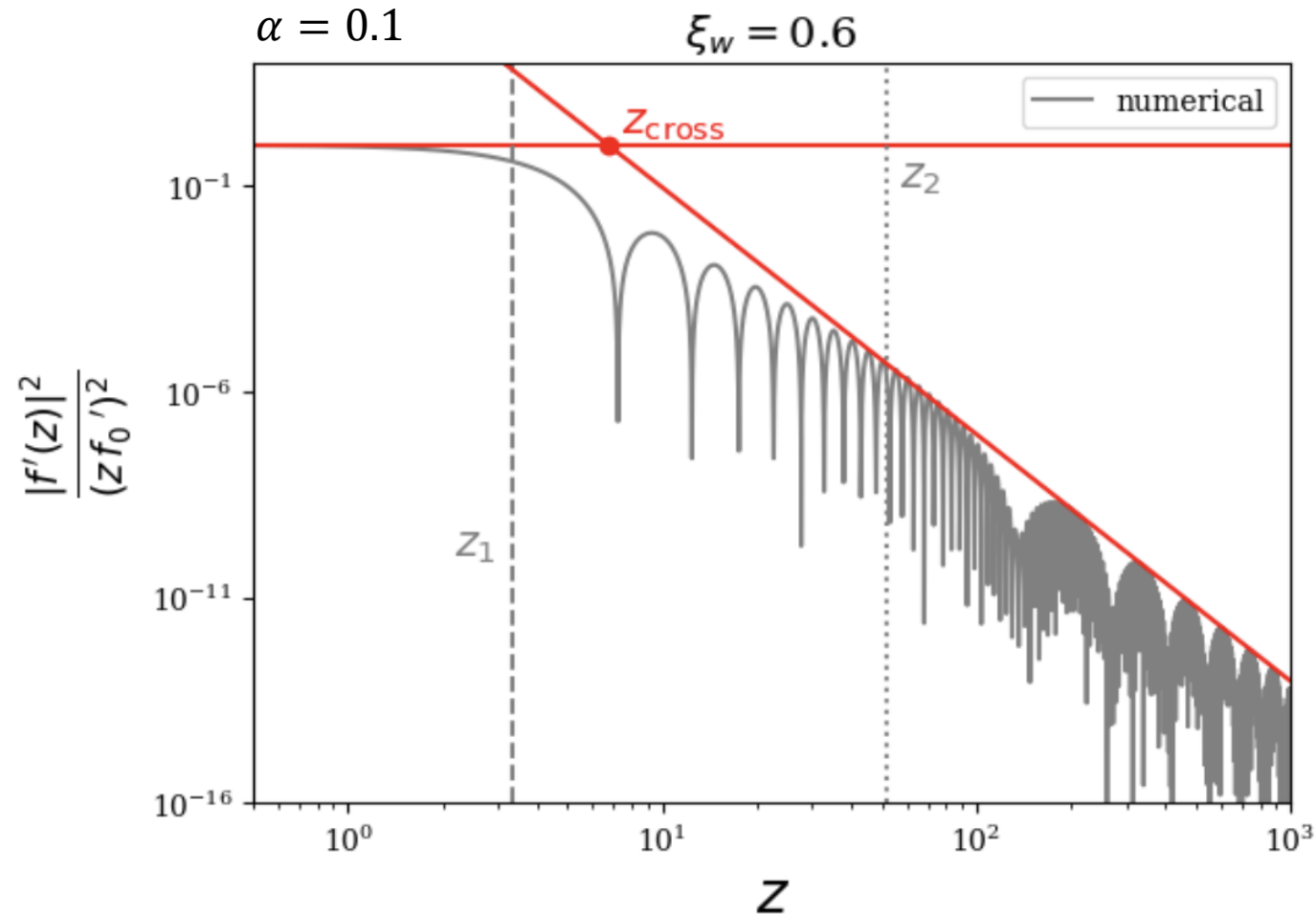
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$$\xi_f - \xi_b \propto \Delta R_* \quad (\text{sound shell thickness})$$

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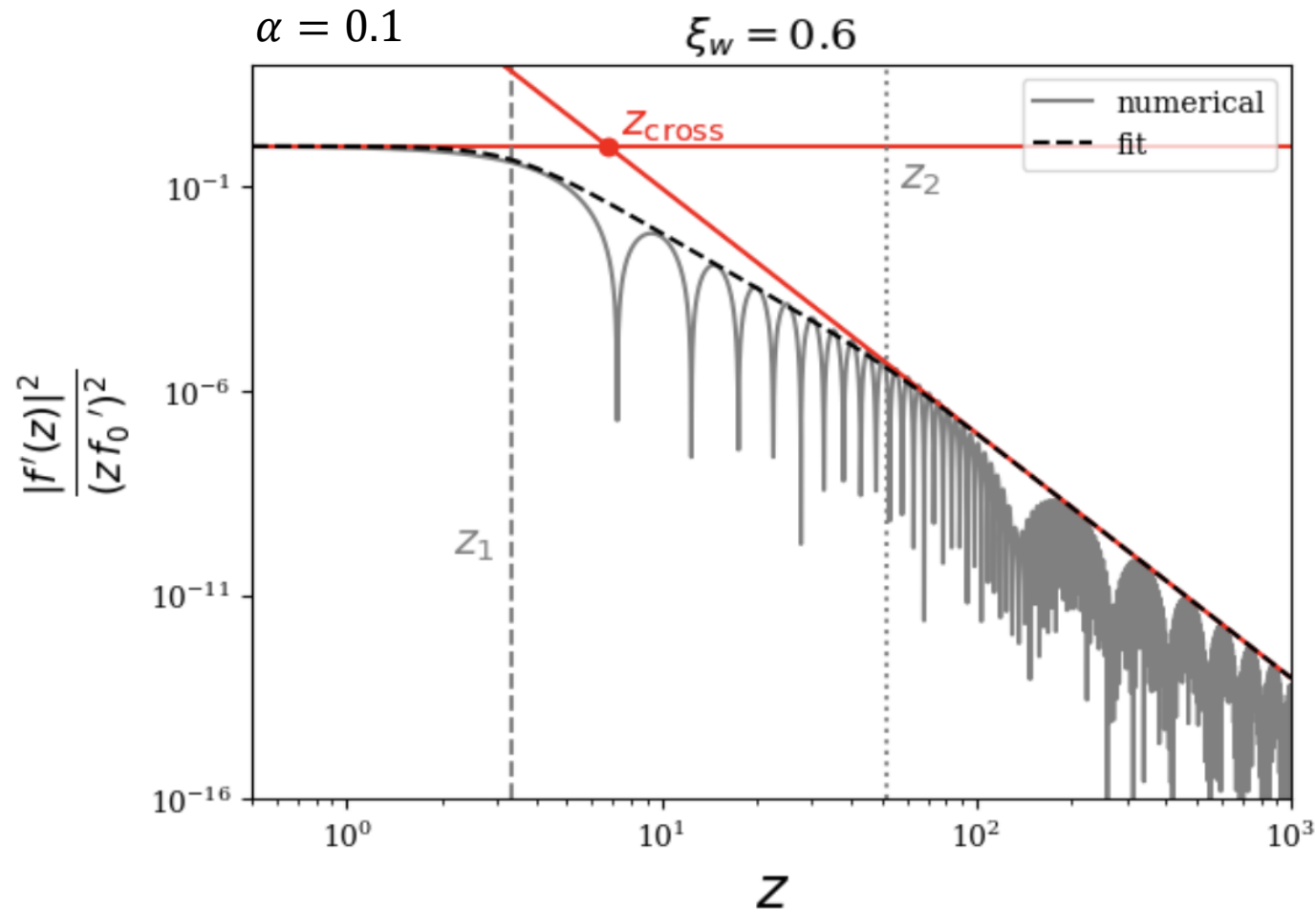
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$\xi_f - \xi_b \propto \Delta R_*$  (sound shell thickness)

$\xi_f - \xi_w = \xi_{sh} - \xi_w$  distance between discontinuities (for hybrids)

# Properties of $|f'(z)|^2$

$$|f'(z)|_{env}^2 = |f'_0|^2 z^2 \left[ 1 + \left( \frac{z}{z_1} \right)^{a_1} \right]^{\frac{\gamma-2}{a_1}} \left[ 1 + \left( \frac{z}{z_2} \right)^{a_2} \right]^{\frac{-\gamma-4}{a_2}}$$



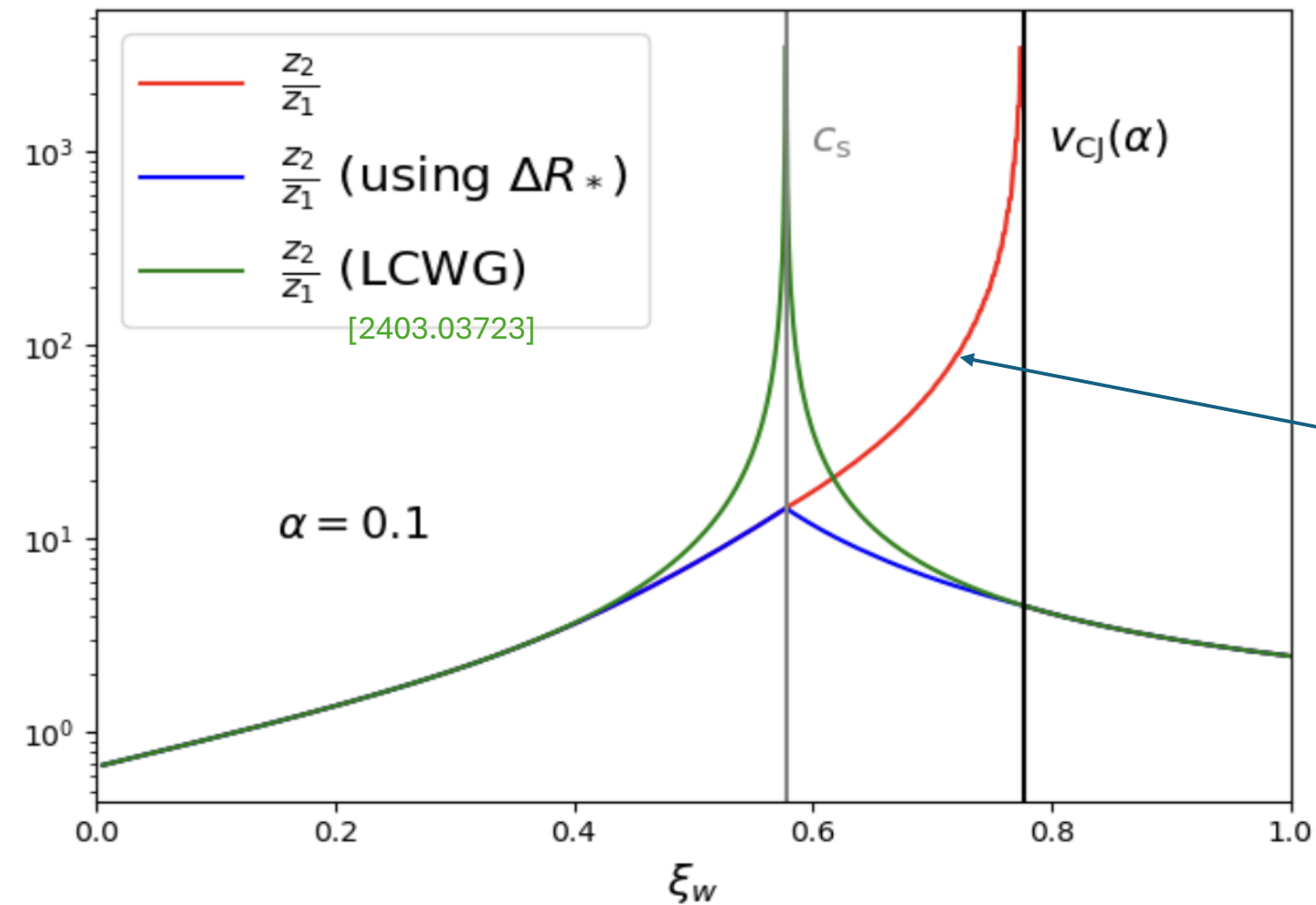
*Double broken power law fit*

$$\gamma = 2 \left[ 1 - 3 \frac{\log(z_2/z_{cross})}{\log(z_2/z_1)} \right]$$

# Scales of $|f'(z)|^2$

$$z_1 \approx \frac{3\pi}{2} (\xi_f + \xi_b)^{-1}$$

$$z_2 \approx \pi \times \begin{cases} (\xi_f - \xi_b)^{-1} & (\xi_w < c_s) \\ (\xi_f - \xi_w)^{-1} & (c_s < \xi_w < v_{CJ}(\alpha)) \\ (\xi_f - \xi_b)^{-1} & (\xi_w > v_{CJ}(\alpha)) \end{cases}$$



Much broader spectrum for hybrids than using

$$z_2 = \pi \times (\xi_f - \xi_b)^{-1} \propto \Delta R_*^{-1}$$

$$z_2 = \pi \times |c_s - \xi_w|^{-1} \quad (\text{Lisa Cosmology Working Group})$$

# Evolution of the fluid perturbations: *before* collisions

The kinetic spectrum in the bubble expansion phase  
is an average over stochastic realizations

$$\langle v_i(t, \mathbf{k}) v_j^*(t, \mathbf{k}') \rangle_{x_0^{(n)}, t_0^{(n)}} \longleftarrow \text{Average over nucleation times}$$



# Evolution of the fluid perturbations: *across* collisions

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# Evolution of the fluid perturbations: *across* collisions

The kinetic spectrum in the bubble expansion phase  
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$$\langle v_i(t, \mathbf{k}) v_j^*(t, \mathbf{k}') \rangle_{x_0^{(n)}, t_0^{(n)}} \longleftarrow \begin{array}{l} \text{Average over nucleation times} \\ \text{and collision times} \end{array}$$

We can model the nucleation history with a normalized lifetime distribution  $\nu(T)$

$$F_L(t_{coll}, k) = n_b(t_{coll}) \int_0^\infty dT \nu(T) T^6 |f'(kT)|^2$$

Kinetic spectrum at collisions

Hindmarsh & Hijazi [1909.10040]

# Evolution of the fluid perturbations: *across* collisions

$$F_L(t_{coll}, k) = n_b(t_{coll}) \int_0^\infty dT \, \nu(T) T^6 |f'(kT)|^2 \quad \longleftarrow \text{Kinetic spectrum at collisions}$$

Large scales  $k \rightarrow 0$        $F_L \rightarrow k^2 F_L^0$        $k^2$  ends around       $k_1 \simeq \beta \frac{z_1}{5.7}$

(exponential  
nucleation)

Small scales  $k \rightarrow \infty$        $F_L \rightarrow k^{-4} F_L^{env}$        $k^{-4}$  starts around       $k_2 \simeq \beta \frac{z_2}{2.4}$

# Consequences for the gravitational wave spectrum

$$\Omega_{GW}(\tau_0, k) = 3 \mathcal{T}_{GW} \iint_{\tau_*}^{\min[\tau_0, \tau_{fin}]} \frac{d\tau_1}{\tau_1} \frac{d\tau_2}{\tau_2} \cos k(\tau_0 - \tau_1) \cos k(\tau_0 - \tau_2) E_{\Pi}(k, \tau_1, \tau_2)$$

UETC for sound-waves computed from the kinetic spectrum

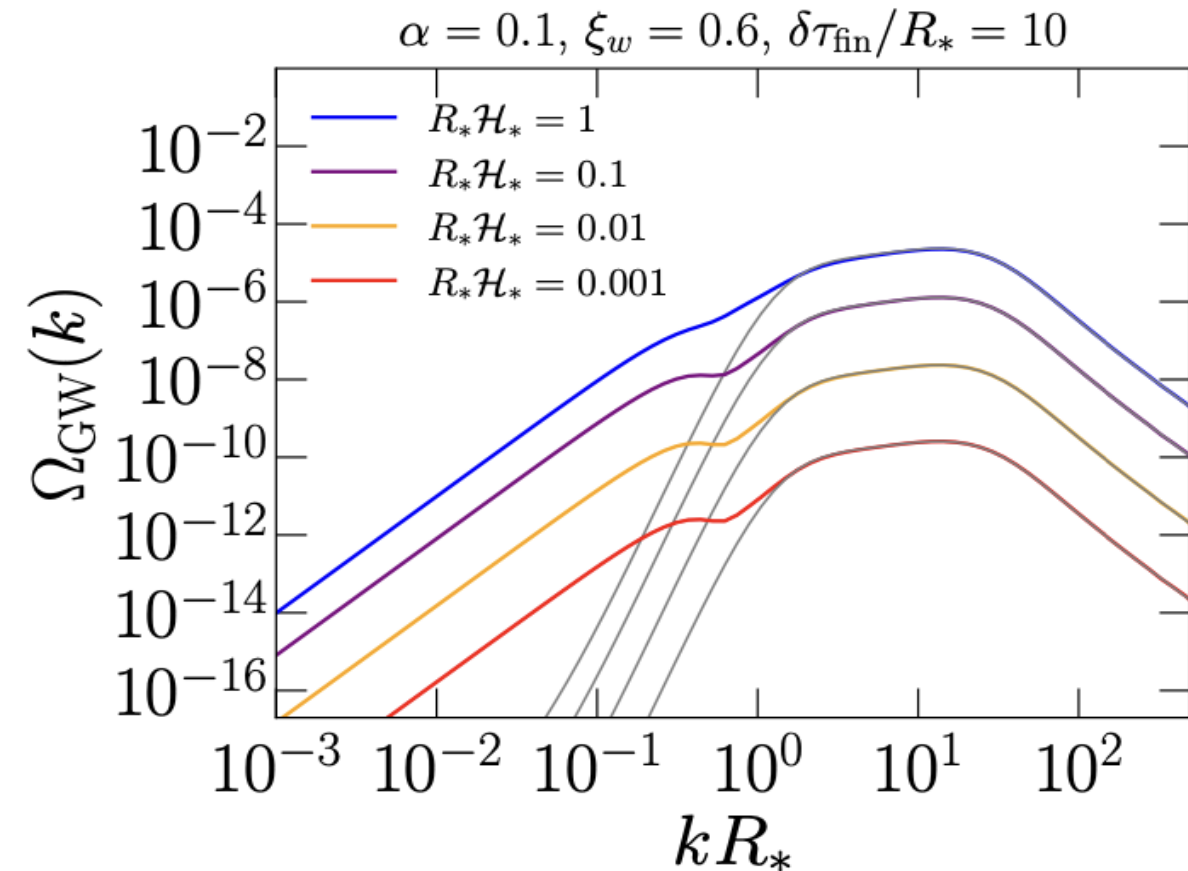
Hindmarsh & Hijazi [1909.10040]

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Hindmarsh & Hijazi [1909.10040]



Double broken power law fit for the peak of  $\Omega_{GW}$  with scales

$$k_1^{GW} \approx 1.2 \times k_1$$

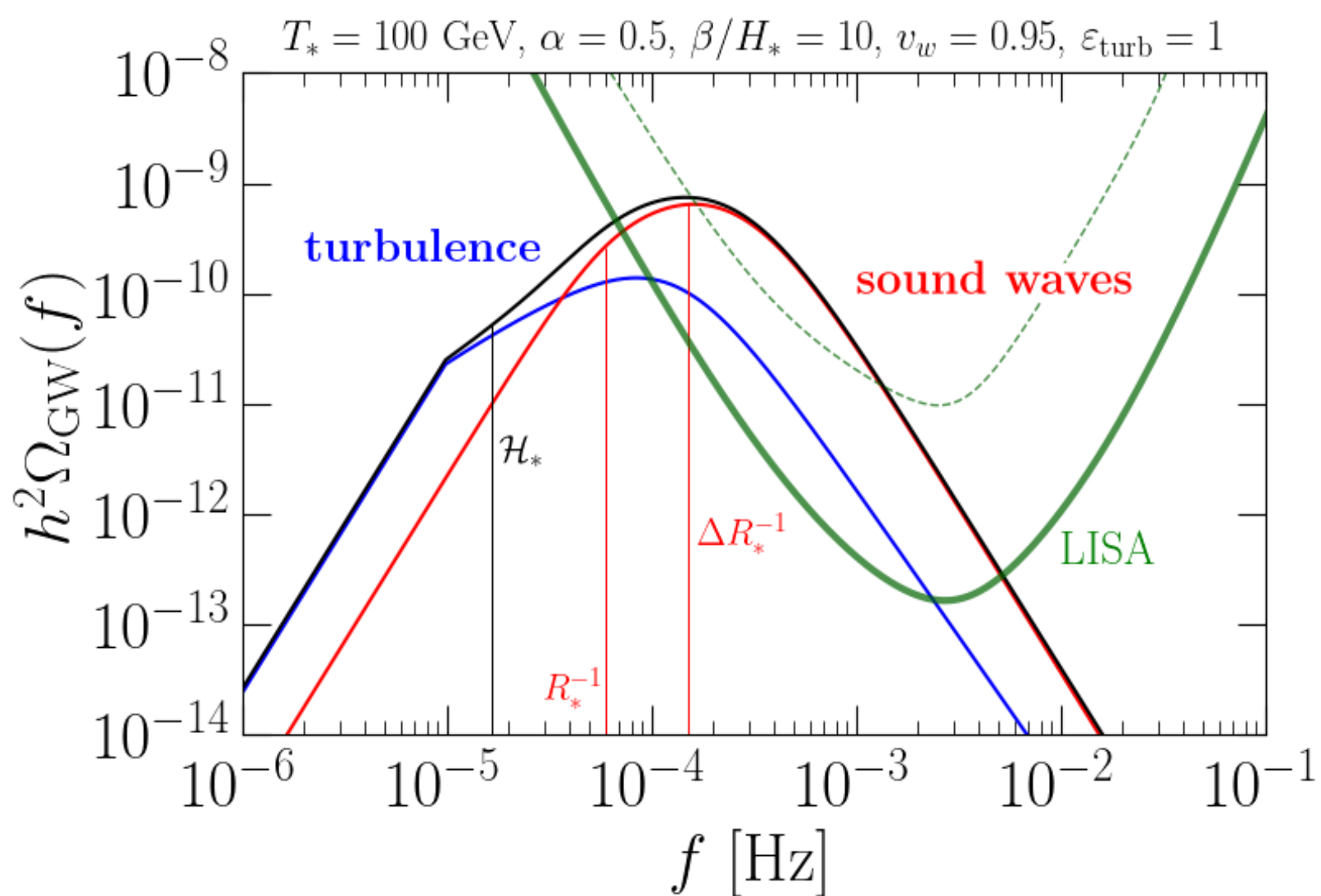
$$k_2^{GW} \approx 1.2 \times k_2$$

Roper Pol, Procacci, Caprini [2308.12943]

# Gravitational Waves from decaying turbulence

[*Ongoing work* in collaboration with C. Caprini, A. Roper Pol, M. Salomé]

# Introduction: first-order phase transitions and gravitational waves



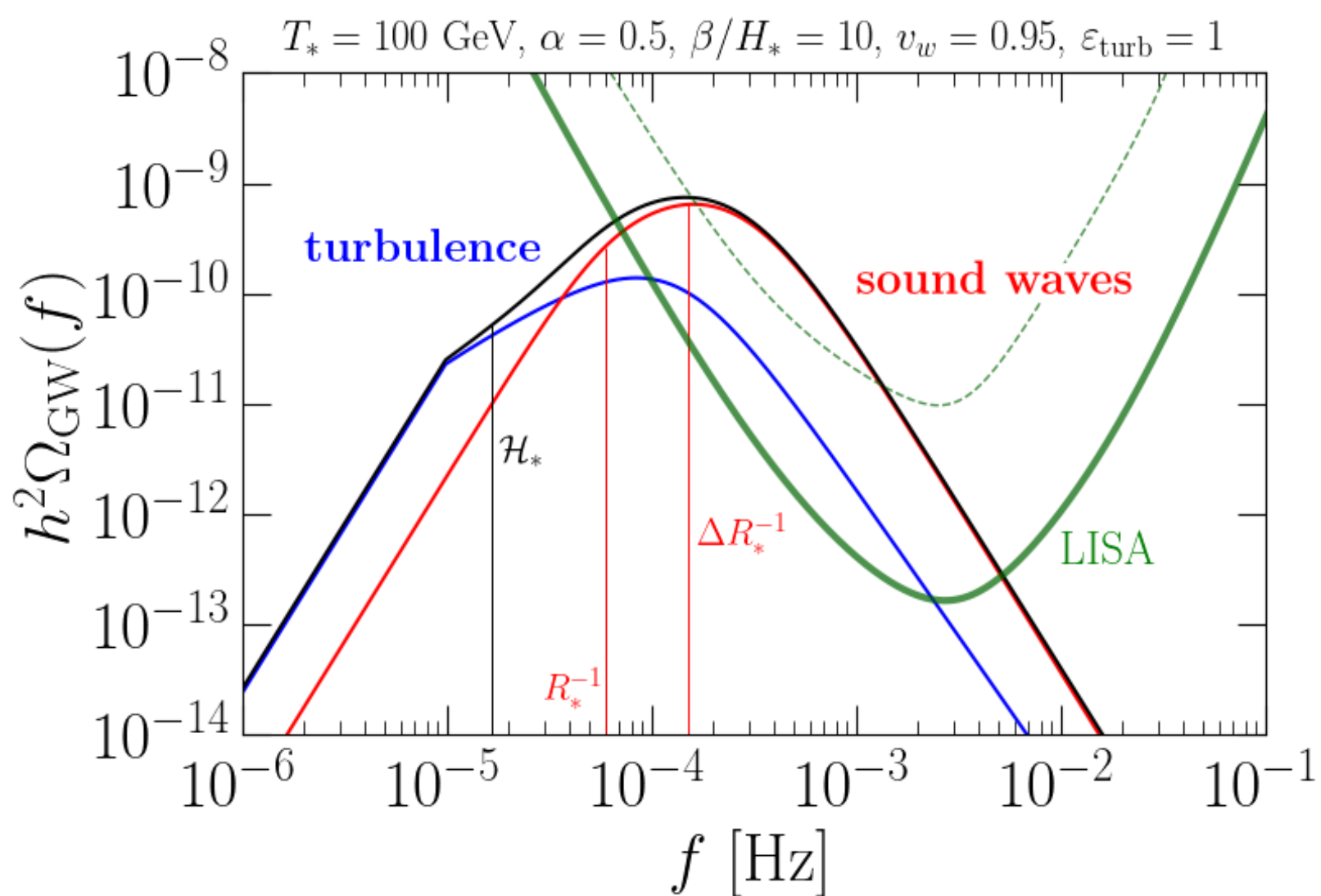
## Constant-in-time model

Roper Pol, Caprini et al. [2201.05630]

← Credits: Alberto Roper Pol

Lisa Cosmology Working Group [2403.03723]

# Introduction: first-order phase transitions and gravitational waves



## Constant-in-time model

Roper Pol, Caprini et al. [2201.05630]

How long does it take for turbulence to develop?

Which fraction of energy goes into it?

How does the sourcing period affect the final GW spectrum?

How does turbulence evolve in the fully relativistic regime?

← Credits: Alberto Roper Pol

Lisa Cosmology Working Group [2403.03723]



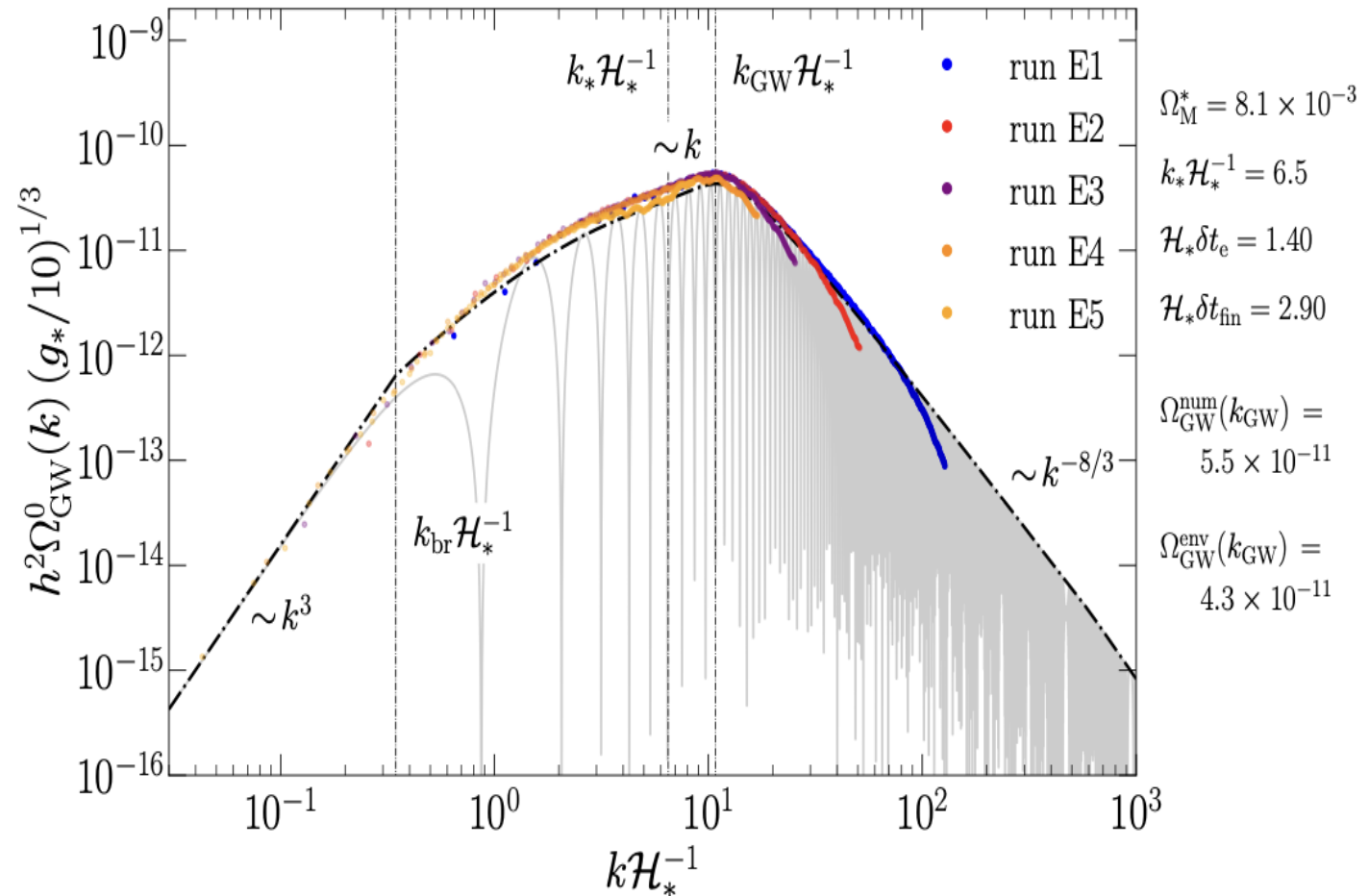
# Gravitational Waves from decaying MHD turbulence

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- In First-Order Phase Transitions scalar field gradients can generate magnetic fields ([Vachaspati et al. 2021](#)) which can also be amplified by hydrodynamic turbulence, leading, due to the high conductivity of the plasma ([Arnold et al. 2003](#)), to MHD turbulence

# Gravitational Waves from decaying MHD turbulence

- In First-Order Phase Transitions scalar field gradients can generate magnetic fields (Vachaspati et al. 2021) which can also be amplified by hydrodynamic turbulence, leading, due to the high conductivity of the plasma (Arnold et al. 2003), to MHD turbulence
- The GW spectrum from numerical simulations of decaying MHD turbulence can be described with the **constant-in-time model** (Roper Pol et al. [2201.05630])



# Constant-in-time model for the UETC of the source

$$\Omega_{GW}(\tau_0, k) = 3 \mathcal{T}_{GW} \iint_{\tau_*}^{\min[\tau_0, \tau_{fin}]} \frac{d\tau_1}{\tau_1} \frac{d\tau_2}{\tau_2} \cos k(\tau_0 - \tau_1) \cos k(\tau_0 - \tau_2) E_{\Pi}(k, \tau_1, \tau_2)$$

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Assuming that the source is slowly decaying\* for  $\tau_* < \tau < \tau_{fin} \longrightarrow E_{\Pi}(k, \tau_1, \tau_2) = E_{\Pi}^*(k)$

\*with respect to the light crossing time at wavenumber  $k$

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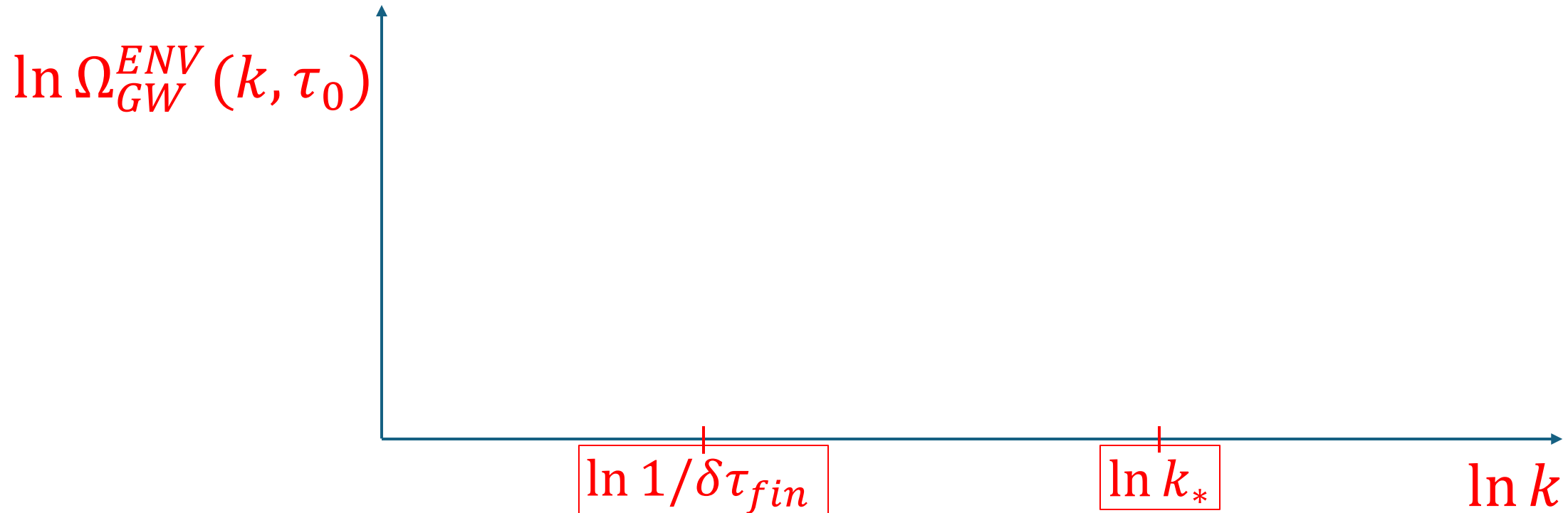


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$$\Omega_{GW}(k, \tau_0) \equiv 3 \mathcal{J}_{GW} E_{\Pi}^*(k) \Delta_0^2(k, \tau_{fin})$$

Assuming for the UETC  $E_{\Pi}^*(k) \sim \begin{cases} k^3 & k < k_* \\ k^{-b} & k > k_* \end{cases}$

causality

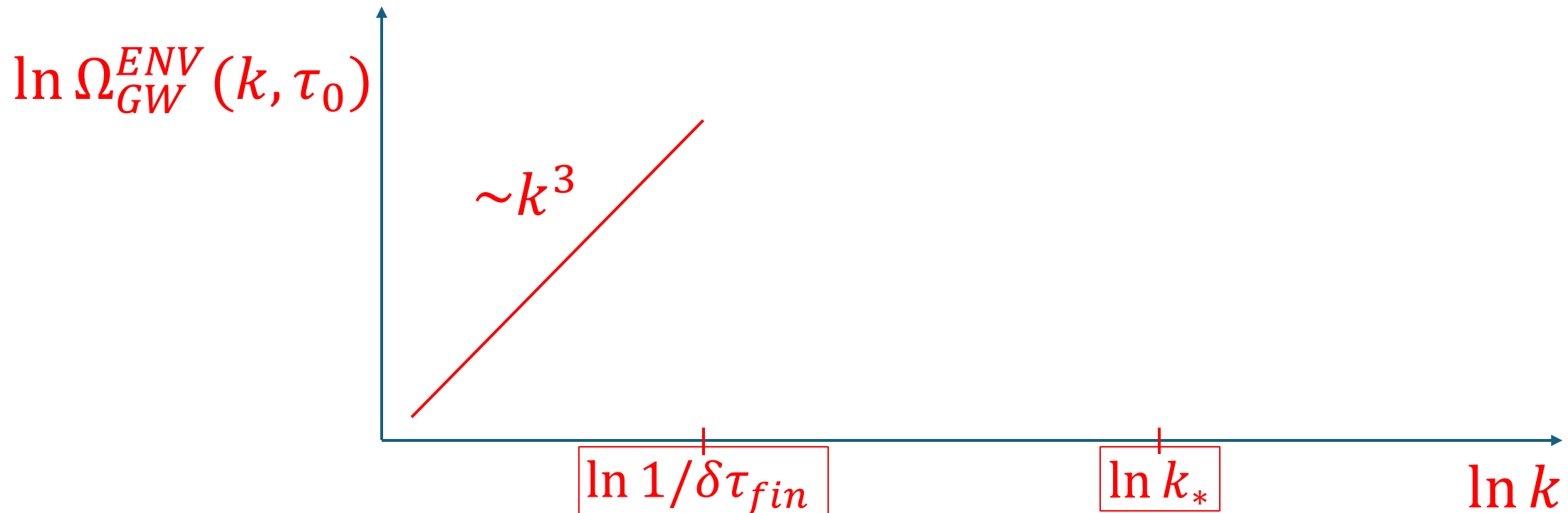


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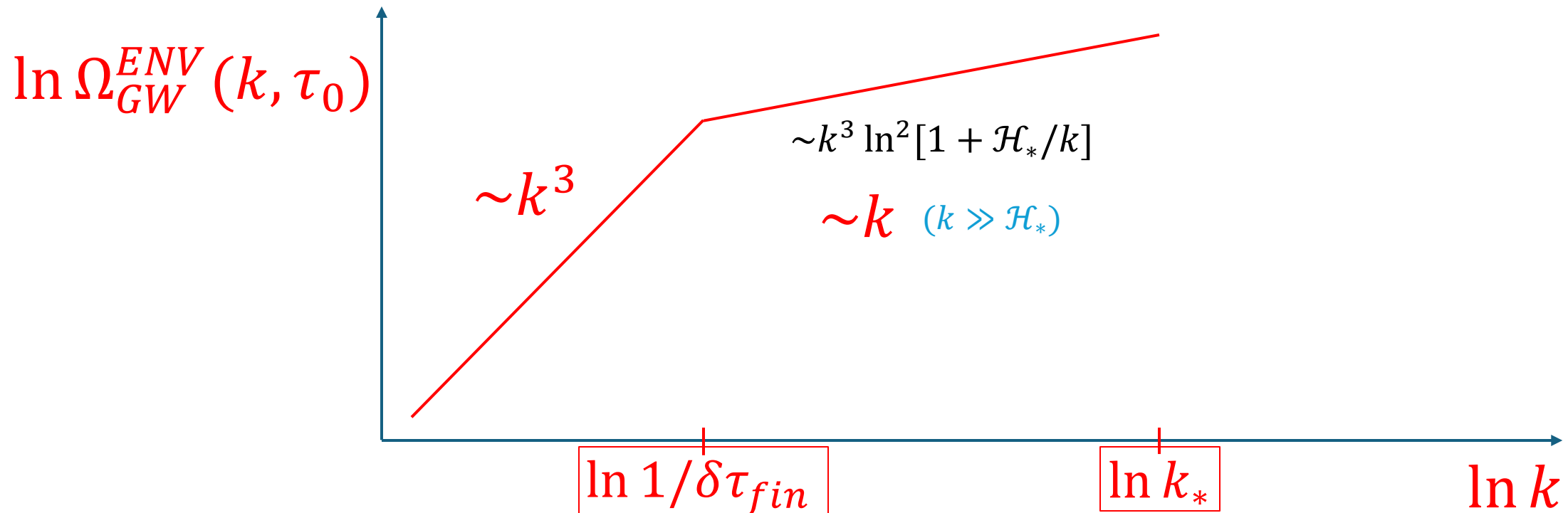


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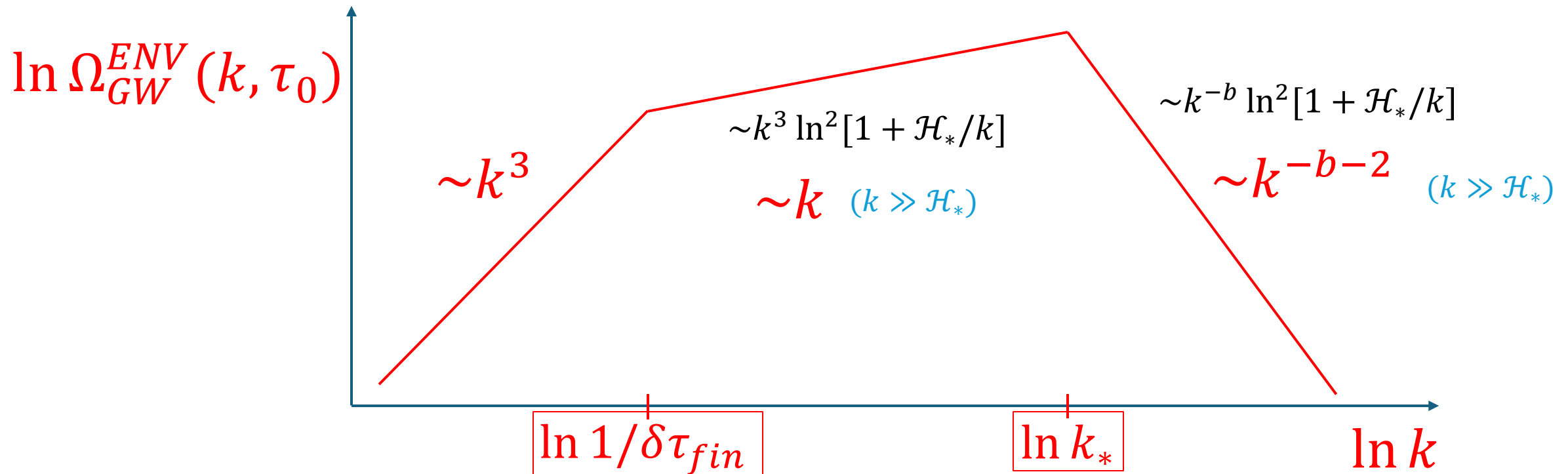
causality  
↙



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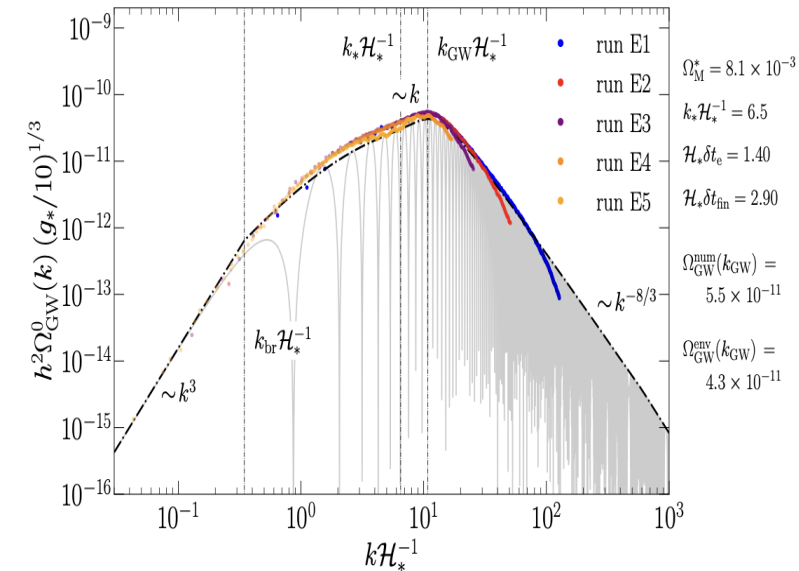
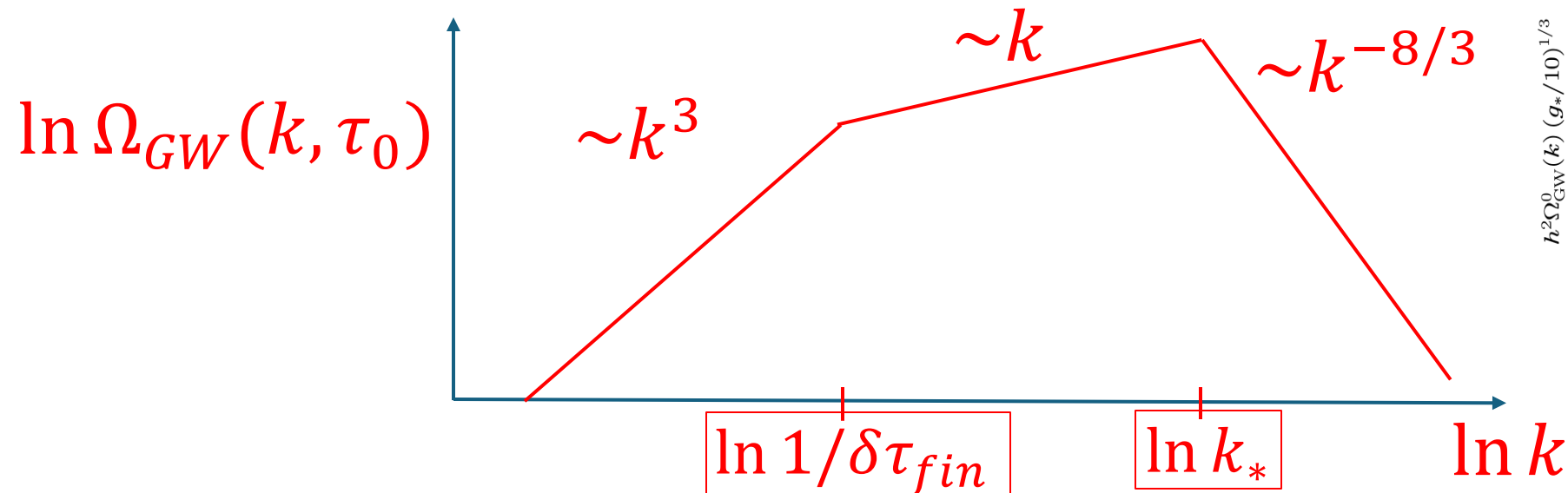
# Gravitational Waves from decaying turbulence

For a purely vortical velocity field with a Von Kármán spectrum

$$E_N^v(k) \sim \begin{cases} k^5 & (k/k_{peak} \rightarrow 0) \quad \text{Batchelor} \\ k^{-2/3} & (k/k_{peak} \rightarrow \infty) \quad \text{Kolmogorov} \end{cases} \quad E_{\Pi}(k) \sim \begin{cases} k^3 & (k/k_* \rightarrow 0) \\ k^{-2/3} & (k/k_* \rightarrow \infty) \end{cases}$$

GW spectrum envelope for vortical turbulence in the constant-in-time model (flat spacetime)

Roper Pol et al. [2201.05630]



# Conclusions of part I

---

GW spectrum from sound waves (in the sound shell model) can be understood from the properties of the self-similar profiles and of the bubble nucleation history

For hybrids the GW peak scale is related to the distance between discontinuities instead of the sound-shell thickness (broader spectrum around the peak)

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General case requires numerical simulations → See Part II

THANKS FOR YOUR ATTENTION!